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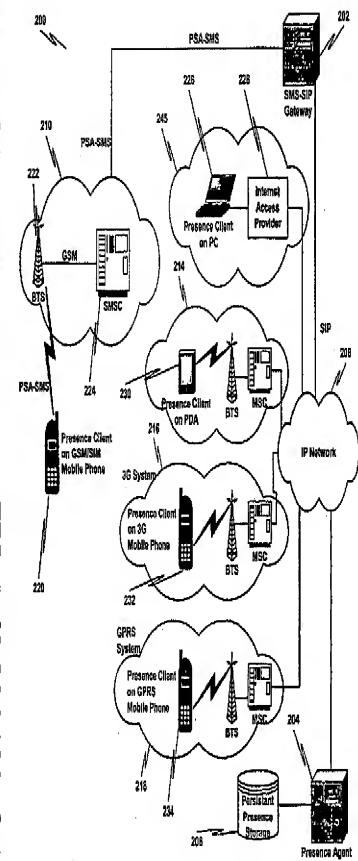
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(54) Title: DETECTING AND TRANSPORTING DYNAMIC PRESENCE INFORMATION OVER A WIRELESS AND WIRE-LINE COMMUNICATIONS NETWORK

(57) Abstract: A method for detecting and transporting dynamic presence information over a wireless and wireline communications network comprises determining client device capabilities relating to user presence information that may be obtained from the client device and determining a presence configuration of the client device. The presence configuration defines information to be provided to a presence agent. The presence configuration also defines one or more action to be performed upon notification of an event occurrence. The method also includes collecting dynamic presence information based at least in part on the client device capabilities and the presence configuration.





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SPECIFICATIONTITLE OF INVENTION

DETECTING AND TRANSPORTING DYNAMIC PRESENCE INFORMATION OVER  
A WIRELESS AND WIRELINE COMMUNICATIONS NETWORK

Cross Reference to Related Applications

[001] This application claims the benefit of provisional patent application no. 60/301,246 filed June 26, 2001, entitled "Architecture And Protocol Mechanisms For Detecting And Transmitting Dynamic Presence Information Using The SIM Specifications And SMS Packet Transport Over GSM Networks Using Abbreviated SIP Stack Grammar".

[002] This application claims the benefit of provisional patent application no. 60/301,249 filed June 26, 2001, entitled "An Architecture For Transcoding SMS Based Streamed Messages To SIP Based IP Signals In Wireless And Wireline Networks".

[003] This application is related to the following:

U.S. Patent Application Serial No. \_\_\_\_, filed June 25, 2002, "Transcoding SMS-Based Streamed Messages To SIP-Based IP Signals In Wireless And Wireline Networks", Attorney Docket No. 034433-000005, commonly assigned herewith.

FIELD OF THE INVENTION

[004] The present invention relates to the field of computer science. More particularly, the present invention relates to a method and apparatus for detecting and transporting dynamic presence information over a data communications network.

BACKGROUND OF THE INVENTION

[005] Presence information is defined as the state of a user as it relates to his or her interaction with various user devices such as mobile phones and the end applications that

execute on the user devices. Examples of end applications include communication, gaming and alerting applications.

[006] In the "wired" line network, the Internet Protocol (IP) network is mature and available to most desktop computing devices. However, in the wireless world, a packet-switched IP network is available to relatively few devices and relatively few markets. Second generation (2G) mobile phones typically offer Web-browsing capabilities via WAP (Wireless Area Protocol) services. Unfortunately, these services are typically implemented in a circuit-switched fashion. True IP-based packet-switched data services will be unavailable until 2.5G or third generation (3G) infrastructures are deployed widely.

[007] Accordingly, there is a need to gather and distribute presence information from wireless devices. There is a further need for such a solution that gathers and distributes presence information from 2G GSM mobile devices. There is a further need for such a solution that is relatively non-intrusive. There is a further need for such a solution that is relatively straightforward to implement using well-understood standards.

#### SUMMARY OF THE INVENTION

[008] A method for detecting and transporting dynamic presence information over a wireless and wireline communications network comprises determining client device capabilities relating to user presence information that may be obtained from the client device and determining a presence configuration of the client device. The presence configuration defines information to be provided to a presence agent. The presence configuration also defines one or more action to be performed upon notification of an event occurrence. The method also includes collecting dynamic presence information based at least in part on the client device capabilities and the presence configuration.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[009] The accompanying drawings, which are incorporated into and constitute a part of this specification, illustrate one or more embodiments of the present invention and, together with the detailed description, serve to explain the principles and implementations of the invention.

[010] In the drawings:

FIG. 1 is a block diagram of a computer system suitable for implementing aspects of the present invention.

FIG. 2 is a block diagram that illustrates a system for dynamic presence information management in accordance with one embodiment of the present invention.

FIG. 3 is a block diagram that illustrates using Short Message Service (SMS) as a bearer protocol to transport presence information in accordance with one embodiment of the present invention.

FIG. 4 is a high level event sequence diagram that illustrates collecting and using dynamic presence information in accordance with one embodiment of the present invention.

FIG. 5 is a detailed event sequence diagram that illustrates collecting and using dynamic presence information in accordance with one embodiment of the present invention.

FIG. 6 is a block diagram that illustrates a mobile phone equipped with a SIM (Subscriber Identity Module) card configured to collect and distribute dynamic presence information in accordance with one embodiment of the present invention.

FIG. 7A is a block diagram that illustrates a buddy list in accordance with one embodiment of the present invention.

FIG. 7B is a block diagram that illustrates a configuration file in accordance with one embodiment of the present invention.

FIG. 8 is a flow diagram that illustrates a method for collecting and distributing presence information in accordance with one embodiment of the present invention.

FIG. 9 is a flow diagram that illustrates a method for initializing a SIM card in accordance with one embodiment of the present invention.

FIG. 10 is an event sequence diagram that illustrates initialization of a SIM card in accordance with one embodiment of the present invention.

FIG. 11 is a block that illustrates a menu in accordance with one embodiment of the present invention.

FIG. 12 is a block diagram that illustrates a SIM Application Toolkit (STK) used to create SIM software for collection and distribution of dynamic presence information in accordance with one embodiment of the present invention.

FIG. 13A is an event sequence diagram that illustrates using a proactive SIM request for dynamic presence data responsive to a normal command from a mobile phone in accordance with one embodiment of the present invention.

FIG. 13B is an event sequence diagram that illustrates using a proactive SIM request for dynamic presence data responsive to a status command from a mobile in accordance with one embodiment of the present invention.

FIG. 14A is an event sequence diagram that illustrates a status command from a mobile phone that is not followed by a proactive SIM request.

FIG. 14B is an event sequence diagram that illustrates repeating an unsuccessful proactive SIM request for dynamic presence data in accordance with one embodiment of the present invention.

FIG. 15 is an event sequence diagram that illustrates not repeating an unsuccessful proactive SIM request for dynamic presence data in accordance with one embodiment of the present invention.

FIG. 16 is a flow diagram that illustrates a method for triggering dynamic presence information collection when a mobile phone is in "call control" mode in accordance with one embodiment of the present invention.

FIG. 17 is a flow diagram that illustrates an event-driven method for triggering dynamic presence information collection in accordance with one embodiment of the present invention.

FIG. 18 is a flow diagram that illustrates a method for triggering dynamic presence information collection when a SIM card receives a status APDU (Application Protocol Data Unit) command in accordance with one embodiment of the present invention.

FIG. 19 is a flow diagram that illustrates a method for proactive congestion control in accordance with one embodiment of the present invention.

FIG. 20 is a flow diagram that illustrates a method for reactive congestion control in accordance with one embodiment of the present invention.

#### DETAILED DESCRIPTION

[011] Embodiments of the present invention are described herein in the context of a method and apparatus for detecting and transporting dynamic presence information over a wireless and wireline communications network. Those of ordinary skill in the art will realize that the following detailed description of the present invention is illustrative only and is not intended to be in any way limiting. Other embodiments of the present invention will readily suggest themselves to such skilled persons having the benefit of this disclosure. Reference will now be made in detail to implementations of the present invention as illustrated in the accompanying drawings. The same reference indicators will be used throughout the drawings and the following detailed description to refer to the same or like parts.

[012] In the interest of clarity, not all of the routine features of the implementations described herein are shown and described. It will, of course, be appreciated that in the

development of any such actual implementation, numerous implementation-specific decisions must be made in order to achieve the developer's specific goals, such as compliance with application- and business-related constraints, and that these specific goals will vary from one implementation to another and from one developer to another. Moreover, it will be appreciated that such a development effort might be complex and time-consuming, but would nevertheless be a routine undertaking of engineering for those of ordinary skill in the art having the benefit of this disclosure.

[013] In the context of the present invention, the term "network" includes local area networks, wide area networks, the Internet, cable television systems, telephone systems, wireless telecommunications systems, fiber optic networks, ATM networks, frame relay networks, satellite communications systems, and the like. Such networks are well known in the art and consequently are not further described here.

[014] In the context of the present invention, the term "Presence" is defined as the subscription to and notification of changes in communication states of a user, where the communication states comprise of a set of communication means, such as communication devices, location, willingness to communicate, preferences and the personal state of the user. Examples of presence information include, by way of example, on-line status, physical location (home, office, café) and currently preferred contact (e.g. phone, text messaging, email).

[015] In the context of the present invention, the term "principal" is defined as a provider and/or consumer of presence information. A principal may comprises, by way of example, a human, a device, a presence application, a presence-technology enabled system such as an airplane, or the like.

[016] In the context of the present invention, the term "principal object" includes profile information, contact information, capability information, preference information and presence information.

[017] In the context of the present invention, the term "profile" represents static information about a principal. Examples include, by way of example, a social security number, a home address, date of birth, or the like.

[018] In the context of the present invention, the "capability" of a principal refers to a capability of a device associated with the principal.

[019] In the context of the present invention, the term "watcher" is defined as a principal that fetches or subscribes to another principal's presence information.

[020] In accordance with one embodiment of the present invention, the components, processes and/or data structures may be implemented using C or C++ programs running on high performance computers (such as an Enterprise 2000™ server running Sun Solaris™ as its operating system. The Enterprise 2000™ server and Sun Solaris™ operating system are products available from Sun Microsystems, Inc. of Palo Alto, California). Different implementations may be used and may include other types of operating systems, computing platforms, computer programs, firmware, computer languages and/or general-purpose machines. In addition, those of ordinary skill in the art will recognize that devices of a less general purpose nature, such as hardwired devices, field programmable gate arrays (FPGAs), application specific integrated circuits (ASICs), or the like, may also be used without departing from the scope and spirit of the inventive concepts disclosed herein.

[021] Figure 1 depicts a block diagram of a computer system 100 suitable for implementing aspects of the present invention. As shown in FIG. 1, computer system 100 includes a bus 102 which interconnects major subsystems such as a central processor 104, a system memory 106 (typically RAM), an input/output (I/O) controller 108, an external device such as a display screen 110 via display adapter 112, serial ports 114 and 116, a keyboard 118, a fixed disk drive 120, a floppy disk drive 122 operative to receive a floppy disk 124, and a CD-ROM player 126 operative to receive a CD-ROM 128. Many other devices can be connected, such as a pointing device 130 (e.g., a mouse) connected via serial port 114 and a modem 132 connected via serial port 116. Modem 132 may provide a direct connection to a server via a telephone link or to the Internet via a POP (point of

presence). Alternatively, a network interface adapter 134 may be used to interface to a local or wide area network using any network interface system known to those skilled in the art (e.g., Ethernet, xDSL, AppleTalk™).

[022] Many other devices or subsystems (not shown) may be connected in a similar manner. Also, it is not necessary for all of the devices shown in FIG. 1 to be present to practice the present invention, as discussed below. Furthermore, the devices and subsystems may be interconnected in different ways from that shown in FIG. 1. The operation of a computer system such as that shown in FIG. 1 is readily known in the art and is not discussed in detail in this application, so as not to overcomplicate the present discussion. Code to implement the present invention may be operably disposed in system memory 106 or stored on storage media such as fixed disk 120, floppy disk 124 or CD-ROM 128.

[023] In accordance with embodiments of the present invention, the dynamically changing state of the user as evidenced by the end user's interaction with the devices, applications and the network, is collected and disseminated to subscribing applications and/or services, based on an opt-in model. The type of presence information consumed by these applications depends on the number and precision of the attributes that the network is capable of capturing and reporting between the two ends of a potential communications session.

[024] Presence information is aggregated and disseminated across multiple devices and multiple networks, including legacy circuit-based networks and IP packet-switched networks to enable subscription to and dissemination of this changing real-time state of a person so that presence applications can function.

[025] Exemplary presence applications include, by way of example, a "Find Friend" application that notifies a person when a friend is nearby, an "Auto-conferencing" application that automatically calls a user when that user is available on any device. The applications also include, by way of example, an "Automatic call completion" application that detects when a user is free and asks the user to call a subscriber at that point, and a

wireless advertising application that sends advertisements to a mobile phone based on the user' proximity.

[026] Presence information may also be used to determine whether a user is available to take a call before the call is made (e.g. whether the person to be called is currently calling another person, or browsing on his or her user device. Presence information may also be used to determine whether a user is available for a meeting, such as when the presence information shows the user is at his or her office and is not currently engaged with any other tasks related to the device. Presence information may also be used to determine whether a user is available to talk at home, such as when the presence information shows the user is at his or her office and the physical location is the home location.

[027] According to another embodiment of the present invention, location-based screening may be used to determine which calls to accept based at least in part on a physical address of the person being called.

[028] Turning now to FIG. 2, a block diagram that illustrates a system for dynamic presence information management in accordance with one embodiment of the present invention is presented. System 200 includes a presence agent 204 managing dynamic presence information and persistent presence storage 206 for storing persistent presence information. System 200 also includes at least one client device 220, 226, 230, 232, 234 configured to supply dynamic presence information of a user as it relates to the user's interaction with the device. Client devices 220, 226, 230, 232, 234 signal state information based at least in part on events occurring on the devices. In more detail, client devices 220, 226, 230, 232, 234 are configured to collect and report presence information to presence agent 204 and enable a user to subscribe to events that are applicable for a particular client device. Client devices 220, 226, 230, 232, 234 are also configured to receive and process one or more notification from at least one presence agent 204. Presence agent 204 receives dynamic presence information collected by client devices 220, 226, 230, 232, 234, matches the dynamic presence information with subscription information and notifies client devices 220, 226, 230, 232, 234 accordingly.

[029] According to embodiments of the present invention, a client device comprises any device configured to render digital content to a user 305. By way of example, a client device may comprise a personal digital assistant (PDA), a personal computer (PC), a Windows CE device, a mobile phone, an EPOCH O/S-based GPRS, a server computer in communication with a user display, or the like. According to another embodiment of the present invention, a client device comprises a secure portable device such as a Java Card™ technology-enabled device, or the like. Java Card™ technology is described in Z. Chen, Java Card™ Technology for Smart Cards (2000).

[030] According to one embodiment of the present invention, a client device comprises a CDMA technology-enabled smart card. CDMA technology-enabled smart cards are described in CDMA Development Group Document #43, entitled "Smart Card Stage I Description", Version 1.1, May 22, 1996, available at [www.cdg.org](http://www.cdg.org).

[031] According to another embodiment of the present invention, a client device comprises a SIM (Subscriber Identity Module card) card. The term "SIM card" describes the smart card used in GSM (Global System for Mobile Communications) mobile telephones. The SIM includes the subscriber's personal cryptographic identity key and other information such as the current location of the phone and an address book of frequently called numbers. The SIM is described in "GSM 11.11 - Digital cellular telecommunications system (Phase 2+); Specification of the Subscriber Identity Module - Mobile Equipment (SIM - ME) interface (GSM 11.11)", available at [www.etsi.org](http://www.etsi.org).

[032] According to another embodiment of the present invention, a client device comprises a WIM (Wireless Interface Module). A WIM is a smart card in a WAP (Wireless Application Protocol) phone. It is described in "Wireless Identity Module Specification, available at [www.wapforum.org](http://www.wapforum.org).

[033] According to another embodiment of the present invention, a client device comprises a USIM (Universal Subscriber Identity Module). A USIM is a smart card for a 3GPP (3<sup>rd</sup> Generation Partnership Project) mobile phone. It is described in 3G TS 21.111 Version 4.0.0, USIM and IC Card Requirements, available at [www.3gpp.org](http://www.3gpp.org).

[034] According to another embodiment of the present invention, a client device comprises a UIM (User Identity Module). A UIM is a smart card for a 3GPP Project 2 (3GPP2) mobile phone. The term "R-UIM" is used when the smart card is removable. A UIM is a super set of the SIM and allows CDMA (Code Division Multiple Access)-based cellular subscribers to roam across geographic and device boundaries. The R-UIM is described in a specification issued by the 3rd Generation Partnership Project 2 (3GPP2) and entitled "Removable User Identity Module (R-UIM) for cdma2000 Spread Spectrum Systems (3GPP2 C.S0023-0)", June 9, 2000, available at <http://3gpp2.org>.

[035] The above description regarding various mobile phone technologies is not intended to be limiting in any way. Those of ordinary skill in the art will recognize that other client devices may be used.

[036] As shown in FIG. 2, device 220 comprises a GSM mobile phone configured with a SIM card. The SIM card includes code for communicating with the mobile phone to obtain dynamic presence information and communicating with presence agent 204 to provide dynamic presence information, and to receive dynamic presence information relating to users subscribed to. The SIM card also communicates with SMS-SIP gateway 202 which converts SIP-like messages received from client 220 to SIP messages for transport over IP network 208 to presence agent 204. The SIP-like protocol is described in more detail below with reference to FIG. 3.

[037] Turning now to FIG. 3, a block diagram that illustrates using Short Message Service (SMS) as a bearer protocol to transport presence information in accordance with one embodiment of the present invention is presented. SMS 305 is used as a transport mechanism to transport dynamic presence information in a presence streaming applet (PSA) layer 300 using a SIP-like protocol.

[038] The SMS message comprises a series of TLVs (Tag-length-value). The last SMS message is user-defined. According to one embodiment of the present invention, this user-defined field is used for SIP-like messages disclosed herein. In other words, SIP-like messages are embedded within SMS messages.

[039] The Short Message Service (SMS), as defined within the GSM digital mobile phone standard specifies that both text and non-text based short messages (for example, in binary format) are supported. According to one embodiment of the present invention, presence information is transported in binary format.

[040] The SMS comprises seven elements particular to the submission and reception of messages:

Validity-Period;

Service-Center-Time-Stamp

Protocol-Identifier;

More-Messages-to-Send;

Priority;

Messages-Waiting;

Alert-SC

[041] The "Protocol-Identifier" is the information element by used to refer to a higher layer protocol being used, or indicates internetworking with a certain type of telematic device. According to one embodiment of the present invention, the "Protocol-Identifier" field is used to indicate the SMS message contents include presence information formatted according to the SIP-like protocol described herein.

[042] According to embodiments of the present invention, the presence or application layer messages submitted to the PSA have a namespace that is a combination of the IMSI (International Mobile Subscriber Identifier as the contact and the SIP address of the ME as the namespace using the Internet electronic email-option of the SMS packet TP-PID.

MT SMS:

[<from-address><space>]<message>

MO SMS:

[<to-address><space>]<message>

[043] The SIP-SMS gateway performs the addressing and namespace resolution to IP addresses as described in copending, U.S. Patent Application Serial No. \_\_\_, filed June 25, 2002, "Transcoding SMS-Based Streamed Messages To SIP-Based IP Signals In Wireless And Wireline Networks", Attorney Docket No. 034433-000005.

[044] According to embodiments of the present invention, SIP-like request that exceed the 160-character limitation of SMS are fragmented and sent using multiple SMS messages. The fragmented messages are then reassembled at the end stations (both the SIM presence applet and the SMS-SIP gateway).

[045] According to another embodiment of the present invention, SMS messages are reassembled at the end stations in sequence since SMS messages may be received in an order different than the order in which the SMS messages were sent.

[046] The Session Initiation Protocol (SIP) is an application-layer control (signaling) protocol for creating, modifying and terminating sessions with one or more participants. These sessions include Internet multimedia conferences, distance learning, Internet telephony and similar applications. SIP is designed to be independent of the lower-layer transport protocol. The Session Initiation Protocol is specified in IETF Request for Comments (RFC) 2543.

[047] SIP is a request-response protocol, dealing with requests from clients and responses from servers. Participants are identified by SIP URLs. SIP determines the end system to be used for the session, the communication media and media parameters, and the called party's desire to engage in the communication. Once these are assured, SIP establishes call parameters at either end of the communication, and handles call transfer and termination.

[048] According to embodiments of the present invention, the SIP-like protocol comprises the following methods: "Options", "Register", "Subscribe", "Notify".

[049] The "Options" method is used to query the media capabilities of a device.

[050] The "Register" method is used to provide dynamic presence information from a client device to a presence agent (reference numeral 204 of FIG. 2). A user must first enroll with a presence server to establish an account with the presence system. Enrolled users are given a username, password and a SIP address (e.g. sip:<username>@<home-domain>.com"). The "Register" method may be used to register for contact and optionally attach a contact with a device. The "Register" method may also be used to update presence information. The "Register" method may also be used to register for updating a principal and device availability. The "Register" command may also be used for updating a principal and device capability.

[051] The "Subscribe" is used to enable a principal to subscribe to an event and be notified when the event status changes.

[052] The "Notify" method is used to inform the subscriber about the new state of an event that has been subscribed to.

[053] The "Message" method is used to send an instant message to a principal.

[054] In accordance with embodiments of the present invention, the term "presence" is further defined in terms of presence attributes. Presence attributes have a name attribute that defines a communication state (e.g. on-line, availability, geographic location, etc.). A presence attribute has a value (e.g. "Yes" or "No" for online-availability). A presence attribute may also be associated with a "last-updated" attribute to specify the time the value was last updated. Exemplary presence attributes and corresponding values are shown in Table 1, below.

Name	Value
register_state_principal	Yes (one or more device registered) No (not registered)
register_state_device	Yes (Device registered) No (device not registered)
my_profile	(a pointer to the user profile table)

status	free   busy   engaged   do not disturb   stepped out   out   vacation   business trip
engaged	on the phone   browsing   on IM
preference_contact	(the contact information corresponding to the highest q-value in the contact list)
contact_list	(comma-delimited contact list)
preference_representation_system	visual   auditory   kinesthetic
preference_voice_type	male   female   child
preference_language	(language name)
supported_media	(comma-delimited list of mime tokens)
supported_language	(comma-delimited language names)
location_physical	: home   office   library   café   school   laboratory
location_dynamic	(location determined by the dynamic location device, e.g. GPS, Cell-ID)
location_GPS	(the original data returned by the GPS device)
location_cell_id	(the original data returned by the radio system)
acceptance_phone	take call   on another call   call me back in x minutes   reject   voice mail
acceptance_email	active   no access   will not read (access control related)

Table 1

[055] The presence attributes listed in Table 1 are for purposes of illustration and are not intended to be limiting in any way. Those of ordinary skill in the art will recognize that other presence attributes and attribute values are possible.

[056] In the context of the present invention, the term "trigger" is defined as a change in the state of a presence attribute.

[057] In the context of the present invention, the term "event" describes the result of evaluating a set of rules based at least in part on one or more trigger.

[058] An exemplary protocol for exchange of dynamic presence information is presented below with respect to tables 2-16. The term "Outgoing" indicates a GSM/SIM device is sending a message to a SIP-SMS gateway, and the term "Incoming" indicates a GSM/SIM device will be receiving the message from the SIP-SMS gateway.

Field Name	Bytes	Value Range	Description
Presence Tag (T)	1	0xAA-0xEF	Version number + tag that can identify the SIP-Like message
Message length (L)	1	0 - 138	User-defined message length
Method	1	0x01 - 0x1F, 0x81 - 0x9F	SIP-like request messages: 0x01 - 0x1F SIP-like response messages: 0x81 - 0x9F
Header Length (Hlen)	1	0x00-0x3F (0-63)	Each SIP header length
Header Body	Hlen	Alpha-numeric (0-9,a-z, A-Z)	SIP Header field value
Message Length (Mlen)	1	0x00-A0	Message body length
Message Body	Mlen	Alpha numeric (0-9,a-z, A-Z)	Message body

Table 2

## The "Register" Method

## OUTGOING

Field Name	Description
Method	The "Register" request
Header Length	No header in "Register" request
Message Length (Mlen)	Message length
Message Body	Presence attribute that needs to be updated

Table 3

## INCOMING

Field Name	Description
Method	The "Register" response
Header length	Response code header in "Register" response
Response Code	Message response code
Message Length (Mlen)	Message length
Message Body	Message body that goes to the client

Table 4

## The "Subscribe" Method

## OUTGOING

Field Name	Description
Method	The "Subscribe" method
Header Length (Hlen)	Length of the header
Header Body	Address of the principal to subscribe to (e.g. principal@domain.com)
Message Length (Mlen)	The message length
Message Body	Event ID

Table 5

## INCOMING

Field Name	Description
Method	The "Subscribe" response
Header length	Response code header in "Subscribe" response
Response Code	Message response code
Header Length (Hlen)	Length of the header
Header Body	Address of the principal to subscribe to (e.g. principal@domain.com)

Table 6

The "Notify" Method

## INCOMING

Field Name	Description
Method	The "Notify" method
Header length	Length of the header
Header body	Event ID
Message Length (Mlen)	Message length
Message Body	Notification body

Table 7

## OUTGOING

Field Name	Description
Method	The "Notify" response
Header length	Response code header in "Notify" response
Response Code	Message response code

Table 8

The "Message" Method

## OUTGOING

Field Name	Description
Method	The "Message" method
Header Length (Hlen)	Length of the header
Header Body	Receiver address (e.g. principal@domain.com)
Message Length (Mlen)	Message length
Message Body	Instant message

Table 9

## INCOMING

Field Name	Description
Method	This is the "Message" method
Header Length (Hlen)	Length of the header
Header Body	Sender address (e.g. principal@domain.com)
Message Length (Mlen)	Message length
Message Body	Instant message.

Table 10

## INCOMING/OUTGOING

Field Name	Description
Method	The "Message" response
Header length	Response code header length in "Message" response
Response Code	Message response
Header Length (Hlen)	Length of the header
Header Body	Address of the principal for which instant

	message (e.g. <u>principal@domain.com</u> ) was sent
--	--

Table 11

The "Options" Method

## INCOMING

Field Name	Description
Method	This is the "Options" method
Header Length (Hlen)	No header
Message Length (Mlen)	The message length
Message Body	This will be used to query the capabilities

Table 12

## OUTGOING

Method	The "Options" response
Header length	Response code header length in "Options" response
Response Code	Message response
Message Length (Mlen)	Length of the header
Message Body	The device capability

Table 13

Message Body

[059] According to embodiments of the present invention, the message body may comprise presence attributes, device capabilities or a simple text message used for instant messaging. An exemplary mapping for presence attributes is shown in Table 14, below.

Presence Attributes

[060] The presence attributes are sent in the "Register" message. An exemplary mapping for presence attributes and values is illustrated in Table 14 below.

Attribute Name	Length	Value
0x01: SIP URL	1 - 30	<u>user@domain.com</u>
0x02: DEVICE STATUS	1	0 = No Information 1 = Off (not applicable) 2 = Idle 3 = In Sleep Mode 4 = Active 5 = Engaged 6 = Busy
0x03: CELL ID	9 -	N-999.999.999-999
0x04: USER LOCATION	1	0 = No Information 1 = Home 2 = Office 3 = Lab 4 = Conference Room 5 = Car
0x05: DEVICE LOCATION	1	0 = No Information 1 = Home 2 = Office 3 = Lab 4 = Conference Room 5 = Car
0x06: USER AVAILABILITY	1	0 = No Information 1 = Available 2 = Invisible 3 = Do Not Disturb 4 = Voice Only 5 = Text Only 6 = In a Meeting 7 = On Vacation 8 = Business Trip

		9 = Out to Lunch 10 = Step Out 11 = Be Right Back
0x07: DEVICE AVAILABILITY	1	0 = No Information 1 = Available 2 = Invisible 3 = Do Not Disturb 4 = Voice Only 5 = Text Only
0x08: Add a mobile buddy	1 - 30	<u>user@domain.com</u>
0x09: Delete a mobile buddy	1 - 30	<u>user@domain.com</u>
0x0A: Delete all mobile buddies	0	

Table 14

Exemplary presence events are shown in Table 15, below.

Event ID	Description
0x01	Mobile Buddy List
0x02	Location
0x03	Availability
0x04	Preferred Contact
0x05	Phone Acceptance
0x06	Email Acceptance
0x07	IM Acceptance

Table 15

Exemplary mappings for the "buddy list" event are shown in Table 16, below.

Tag	Value
0x01: Buddy Name	Alphanumeric
0x02: device	1 = Mobile Phone 2 = PC 3 = PDA 4 = Pager 5 = Set Top Box
0x03: status	1 = Idle 2 = In Sleep Mode 3 = Active 4 = Engaged 5 = Busy
0x04: location	0 = No Information 1 = Home 2 = Office 3 = Lab 4 = Conference Room 5 = Car
0x05: phone	Phone number
0x06: IM	IM client SIP URL

Table 16

[061] Turning now to FIG. 4, a high-level event sequence diagram that illustrates collecting and using dynamic presence information in accordance with one embodiment of the present invention is presented. In FIG. 4, user 465 associated with mobile phone 400 has previously subscribed to user 470 associated with mobile phone 405. At 425, mobile phone creates an SMS message including an embedded SIP-like "Register" message that includes dynamic presence information and sends it to SMS center 410. At 430, SMS center 410 forwards the request to SMS-SIP gateway 415. At 435, SMS gateway performs an SMS SIP-like-to-SIP translation. At 440, SMS-SIP gateway 415 sends the SIP message to presence agent 420. Presence agent 420 matches the presence information provided in the "Register" message with information for other users that subscribe to the

presence of user 470. As shown in FIG. 4, user 465 subscribes to the presence of user 470. Thus, at 445, presence agent 420 issues a SIP "Notify" message to inform user 465 of user 470 presence information. SMS-SIP gateway 415 receives the SIP message. At 450, SMS-SIP gateway 415 translates the SIP message to a SIP-like message and embeds it in an SMS message. At 455, the SMS-SIP gateway sends the SMS message to SMS controller 410. At 460, SMS controller 410 forwards the SMS message to mobile phone 400 for use in informing user 465 regarding the presence information of user 470.

[062] Turning now to FIG. 5, a detailed event sequence diagram that illustrates collecting and using dynamic presence information in accordance with one embodiment of the present invention is presented. Figure 5 provides more detail for FIG. 4. Mobile phone and 470 of FIG. 4 corresponds with mobile phone 500 of FIG. 5. Presence client SIM 505 of mobile phone 500 has previously registered for notification upon call connections on mobile phone 500. At 520, mobile phone 500 communicates the call connection event to presence client subscriber SIM 2005. At 525, presence client subscriber SIM 505 forms a SIP-like "Register" request. At 530, presence client 505 uses SMS to send the "Register" request to SMS-SIP gateway 510. At 535, SMS-SIP gateway 510 performs a phone number-to-SIP address lookup. At 540, the SMS-SIP gateway updates the presence attributes. At 545, the SMS-SIP gateway translates the SIP-like "Register" request to a SIP request. At 550, the SMS-SIP gateway sends the SIP "Register" request to presence agent 515. At 555, presence agent 515 retrieves the presence attributes associated with the originator of the "Register" request. At 560, presence agent 515 updates the presence attributes with information from the "Register" request. At 565, an event may be triggered based on the update. These updates are evaluated. If the result of the evaluation indicates notification is required, at 570, presence agent 590 is notified. Presence agent 590 responds with a "success status" at 575. At 580, presence agent 590 sends a "Notify" message to presence client 595. Presence client 595 responds with a success status at 585.

[063] Turning now to FIG. 6, a block diagram that illustrates a mobile phone equipped with a SIM card configured to collect and distribute dynamic presence information in accordance with one embodiment of the present invention is presented. Mobile phone 600 comprises a SIM card 605. SIM card 605 comprises a configuration file 610, network parameters 620, application data 625 and a presence applet 615. Presence applet is

configured to collect presence information from mobile phone 600 and to provide presence information. Application data may comprise, by way of example, a work address, a home address and a "buddy list".

[064] Turning now to FIG. 7A, a block diagram that illustrates a buddy list in accordance with one embodiment of the present invention is presented. Buddy list 700 comprises one or more names of individuals for whom presence is subscribed, the status, phone contacts and SMS contacts.

[065] Turning now to FIG. 7B, a block diagram that illustrates a configuration file in accordance with one embodiment of the present invention is presented. Configuration file 720 may include a username, password, a default "Register" duration time, a device ID generated by a presence agent when the device is registered for the first time, and one or more contact URLs attached to the device.

[066] Turning now to FIG. 8, a flow diagram that illustrates a method for collecting and distributing presence information in accordance with one embodiment of the present invention is presented. At 800, client device capabilities are determined. At 805, a presence configuration is determined. The presence configuration may comprise information regarding events that are subscribed to and actions to perform upon notification of the event's occurrence. The presence configuration may also include an indication of the presence information that is to be collected and provided to a presence agent. At 810, dynamic presence information is collected based at least in part on the mobile phone capabilities and dynamic presence configuration information.

[067] Turning now to FIG. 9, a flow diagram that illustrates a method for initializing a SIM card in accordance with one embodiment of the present invention is presented. At 900, the presence applet is registered to the SIM card. At 905, a "Power-up" registration message is sent to a presence agent. At 910, the presence menu is setup. This is explained in more detail with reference to FIG. 10.

[068] Turning now to FIG. 10, an event sequence diagram that illustrates initialization of a SIM card in accordance with one embodiment of the present invention is presented. At

1010, the mobile phone is turned on. At 1015, the SIM card requests the mobile phone's profile so that its capabilities may be determined. At 1020, the mobile phone sends the profile to the SIM card. At 1025, the SIM card uses a proactive command to request the mobile phone setup a menu. At 1030, the mobile phone responds with status. At 1035, the SIM card requests a user selection. At 1040, the mobile phone returns a user selection. At 1045, the SIM card packages sends presence information via SMS to the SMS controller 1055.

[069] Turning now to FIG. 11 a block that illustrates a menu in accordance with one embodiment of the present invention is presented. Top-level menu 1100 includes entries relating to mobile buddies, the user's availability preferences, and the user's location. Mobile buddy sub-menu 1100 includes entries for displaying mobile buddies and adding a buddy. Availability sub-menu 1100 includes entries to indicate the user is available, available for voice communications only, available for text communications only, not to be bothered and invisible. Location sub-menu includes entries to indicate the user is at home or at work.

[070] Turning now to FIG. 12, a block diagram that illustrates a SIM Application Toolkit (STK) used to create SIM software for collection and distribution of dynamic presence information in accordance with one embodiment of the present invention is presented. The SIM Application Toolkit (STK) is an ETSI (European Telecommunications Standards Institute)/SMG (Special Mobile Group) standard (GSM 11.14) for Value Added Services and e-commerce using GSM phones to do the transactions. "Specification of the SIM Application Toolkit for the Subscriber Identity Module – Mobile Equipment (SIM – ME) Interface". The SIM Toolkit defines how the card should interact with the outside world and extends the communication protocol between the card and the handset.

[071] The STK lets the SIM card issue commands to the telephone. These commands range from displaying menus and getting user input to sending and receiving SMS messages. The STK is designed as a client-server application, where the server is the SIM card and the client is the ME.

[072] The STK supports profile download, which allows the Mobile Equipment to tell the SIM card what STK facilities it is capable of supporting. According to embodiments of the present invention, the SIM card limits the commands issued to the ME based at least in part on the capabilities of a ME.

[073] The STK also supports proactive SIM, which is a mechanism through which the SIM card can inform the Mobile Equipment that it has some information or commands for the Mobile Equipment to carry out, which the Mobile Equipment can then fetch. Such actions include displaying text from the SIM card to the Mobile Equipment, sending a short message, setting up a voice or data call to a number held in the SIM card, and so on. Table 16 illustrates the commands the SIM card can issue to the Mobile Equipment using the proactive commands:

Command	Explanation
Display Text	Displays text (of less than 160 characters) on the screen
GET INKEY	Sends text to the display and requests a single character answer
GET INPUT	Sends text to the display and requests a response
MORE TIME	Requests more time to perform a task
PLAY TONE	Plays a tone
POLL INTERVAL	Tells the Mobile Equipment how often to send status data to the SIM card during idle mode
POLLING OFF	Stops the polling function by the mobile phone
REFRESH	Asks the mobile phone to re-read all data from the SIM card
SEND SHORT MESSAGE	Sends a short message to the mobile network
SEND SS	Sends a supplementary string to the mobile network
SEND USSD	Sends an Unstructured Supplementary Services Data (USSD) string to the mobile network
SET UP CALL	Initiates a voice call.
PROVIDE LOCAL INFORMATION	Requests that Mobile Equipment passes local information to SIM such as country and mobile network codes for roamers

Table 17

[074] The STK can also support menu selection, where the SIM provides menu items for the MMI (Man-Machine Interface) to display and registers which one was selected

[075] The STK also supports call control by the SIM. When activated, all call setup attempts will result in the telephone numbers, supplementary services and Unstructured Supplementary Services Data (USSD) strings being sent first to the SIM card. The SIM card can then decide whether to allow those actions to be carried out or can selectively bar them.

[076] The STK also supports Event Download. This command is sent from the handset to the SIM to state that an event happened. The events to be downloaded comprise indications that a mobile call has been terminated, connected or disconnected. The events

also comprise an indication of user activity (e.g. when the user presses buttons on the handset) and an indication of an idle screen (the screen is blank).

[077] The STK also supports mobile-originated Short Message control by SIM and sending APDU commands to a second SIM card. An APDU is defined in ISO standard 7816-4.

[078] The Application Program Interface (API) for SIM Application Toolkit is a platform that allows developers to create STK applets for the SIM. These applets can be loaded onto the SIM remotely by the provider using STK functions. The STK API on a GSM Phase 2+ SIM is based on the Java 2.1 Runtime Environment, which is depicted in FIG. 12. The SIM toolkit framework is the GSM Java Card runtime environment. It comprises the JCRE (Java Card™ Runtime Environment), the Toolkit Registry, the Toolkit Handler and the File System. The JCRE is specified in Java Card 2.1 Runtime Environment Specification and is able to select any specific applet and transmit it to the process of its APDU (Application Protocol Data Unit). The Toolkit Registry handles all the registration information of the toolkit applets, and their link to the JCRE registry. The Toolkit Handler handles the availability of the system handler and the toolkit protocol (i.e. toolkit applet suspension). The file system comprises the card issuer file system, and handles the file access control and applet file context. The applets derive from javacard.framework.applet and thus provide the same entry points, and implement the shareable interface sim.toolkit.ToolkitInterface so that these applets can be triggered by an invocation of their processToolkit method.

[079] According to embodiments of the present invention, applet 1205 comprises a presence client. The presence client communicates with the mobile phone to obtain dynamic presence information and communicates with a presence agent to provide dynamic presence information, and to receive dynamic presence information relating to users subscribed to.

[080] Figures 13A-15 are event sequence diagrams that illustrate using the proactive SIM feature of the SIM Application Toolkit to obtain dynamic presence information from

mobile equipment (ME) such as a mobile phone configured with a SIM card in accordance with embodiments of the present invention.

[081] Turning now to FIG. 13A, an event sequence diagram that illustrates using a proactive SIM request for dynamic presence data responsive to a normal command from a mobile phone in accordance with one embodiment of the present invention is presented. At 1304, the ME 1302 issues a normal command. At 1306, the SIM 1300 responds with a status code of '91', indicating the ME 1302 should request information from the SIM 1300. At 1308, the ME 1302 issues a "Fetch" command to the SIM 1300. At 1310, the SIM 1300 responds with a proactive SIM command that may include a request for presence information. At 1316, the ME 1302 performs the proactive SIM command. At 1312, the ME 1302 provides a successful response. At 1314, the SIM 1300 responds with a status code of '90', indicating there is nothing more for the ME 1302 to do.

[082] Turning now to FIG. 13B, an event sequence diagram that illustrates using a proactive SIM request for dynamic presence data responsive to a status command from a mobile in accordance with one embodiment of the present invention is presented. At 1354, the ME 1352 issues a status command. At 1356, the SIM 1350 responds with normal data on dynamic presence and a status code of '91', indicating the ME 1352 should request information from the SIM 1350. At 1358, the ME 1352 issues a "Fetch" command to the SIM 1350. At 1360, the SIM 1350 responds with a proactive SIM command that may include a request for presence information. At 1366, the ME 1352 performs the proactive SIM command. At 1362, the ME 1352 provides a successful response. At 1364, the SIM 1350 responds with a status code of '90', indicating there is nothing more for the ME 1352 to do.

[083] Turning now to FIG. 14A, an event sequence diagram that illustrates a status command from a mobile phone that is not followed by a proactive SIM request is presented. At 1404, the ME 1402 issues a status command. At 1406, the SIM 1400 responds with normal data on dynamic presence and a status code of '90', indicating there is nothing more for the ME 1402 to do.

[084] Turning now to FIG. 14B, an event sequence diagram that illustrates repeating an unsuccessful proactive SIM request for dynamic presence data in accordance with one embodiment of the present invention is presented. FIG. 14B is similar to FIG. 13A, except that the ME 1450 responds to a proactive SIM command with an error status 1462). At this point, the SIM response with a status code of '91', which signals the ME to retry the last command. At 1466, the ME 1402 issues a "Fetch" command to the SIM 1450. At 1468, the SIM 1450 responds with the same proactive SIM command. At 1474, the ME 1452 performs the proactive SIM command. At 1470, the ME 1402 provides a successful response. At 1472, the SIM 1450 responds with a status code of '90', indicating there is nothing more for the ME 1402 to do.

[085] Turning now to FIG. 15, an event sequence diagram that illustrates not repeating an unsuccessful proactive SIM request for dynamic presence data in accordance with one embodiment of the present invention is presented. Figure 15 is similar to FIG. 14B, except that a failed proactive SIM request is not repeated. Instead, in response to receiving a problem indication from the ME 1502, at 1514 the SIM 1500 returns a status code of '90', indicating there is nothing more for the ME to do.

[086] Figures 16-18 are flow diagrams that illustrate various event triggers used for triggering the capture of dynamic presence information in accordance with embodiments of the present invention. Figure 16 illustrates triggering the capture of dynamic presence information when a user dials a number using the "call control" feature of the SIM Toolkit. FIG. 17 illustrates triggering the capture of dynamic presence information using the "event download" feature of the SIM Toolkit. FIG. 18 illustrates triggering the capture of dynamic presence information upon receipt of a "STATUS APDU" command.

[087] Turning now to FIG. 16, a flow diagram that illustrates a method for triggering dynamic presence information collection when a mobile phone is in "call control" mode in accordance with one embodiment of the present invention is presented. At 1600, a number dialed from mobile equipment is received while in call control mode. At 1605, call control preferences are determined. The call control preferences specify whether particular numbers should be allowed. At 1610, a response is determined based at least in part on the call control preferences. At 1615, a determination is made regarding whether

presence information should be captured. The determination may be based at least in part on factors such as the amount of time since the last time dynamic presence information was captured, or the like. At 1620, a flag set based on the determination 1615 is examined. If presence information should be captured, it is done at 1630. If presence information should not be captured, a normal response is issued at 1625.

[088] Turning now to FIG. 17, a flow diagram that illustrates an event-driven method for triggering dynamic presence information collection in accordance with one embodiment of the present invention is presented. At 1700, an envelope command corresponding to a registered event download trigger is received. At 1705, a determination is made regarding whether presence information should be captured. The determination may be based at least in part on factors such as the amount of time since the last time dynamic presence information was captured, or the like. At 1710, a flag set based on the determination 1705 is examined. If presence information should be captured, it is done at 1720. If presence information should not be captured, a normal response is issued at 1715.

[089] Turning now to FIG. 18, a flow diagram that illustrates a method for triggering dynamic presence information collection when a SIM card receives a status APDU command in accordance with one embodiment of the present invention is presented. At 1800, a status APDU command is received. At 1805, a determination is made regarding whether presence information should be captured. The determination may be based at least in part on factors such as the amount of time since the last time dynamic presence information was captured, or the like. At 1810, a flag set based on the determination 1805 is made. If presence information should be captured, it is done at 1820. If presence information should not be captured, a normal response is issued at 1815.

[090] According to embodiments of the present invention, proactive and/or reactive congestion control mechanisms are used to protect against bottlenecks with respect to the presence information transported over SMS using the PSA layer. The congestion control mechanisms are handled by the presence user agent applet, making congestion control concerns transparent to the application layer.

[091] Turning now to FIG. 19, a flow diagram that illustrates a method for proactive congestion control in accordance with one embodiment of the present invention is presented. At 1900, an exponent is set to a starting threshold. At 1905, a measurement of the end-to-end PSA streaming rate and a current measurement of the available bandwidth are passed through a low pass filter. At 1910, a determination is made regarding whether the filtered PSA streaming rate is greater than the filtered bandwidth value. If the filtered PSA streaming rate is not greater than the filtered bandwidth value, the exponent is set to the starting threshold at 1900 and the process continues at 1905. If the filtered PSA streaming rate is greater than the filtered bandwidth value, at 1915 a delay is performed. The delay period is based on the value  $2^{\text{exponent}}$ . At 1920, the value of the exponent is increased. At 1925, the next SIP over SMS packet is sent. The process continues at 1905.

[092] Turning now to FIG. 20, a flow diagram that illustrates a method for reactive congestion control in accordance with one embodiment of the present invention is presented. At 2000, a determination is made regarding whether the number of SMS timeouts is greater than a timeout threshold. At 2005 a determination is made regarding whether the number of unacknowledged reports is greater than an unack threshold. If either the timeout threshold or the unack threshold is exceeded, the PSA stream window size is set to one packet at 2020. If neither threshold is exceeded, at 2010 a determination is made regarding whether an SMS ack has been received. If an SMS ack has been received, the current PSA stream window size is doubled at 2015. The doubling of the PSA stream window size continues as long as neither the timeout threshold nor the unack threshold is exceeded.

[093] The description of SMS as a transport mechanism for presence information is not intended to be limiting in any way. Those of ordinary skill in the art will recognize that other transport mechanisms may be used, such as USSD (Unstructured Supplementary Service Data), or the like.

[094] Embodiments of the present invention provides aggregated presence information, increasing the probability of making a connection when call is attempted, and increasing the probability of a successful, more effective communication once connected.

[095] While embodiments and applications of this invention have been shown and described, it would be apparent to those skilled in the art having the benefit of this disclosure that many more modifications than mentioned above are possible without departing from the inventive concepts herein. The invention, therefore, is not to be restricted except in the spirit of the appended claims.

CLAIMS

What is claimed is:

1. A method for detecting and transporting dynamic presence information over a wireless and wireline communications network, the method comprising:  
determining client device capabilities, said capabilities relating to user presence information that may be obtained from said client device;  
determining a presence configuration of said client device, said presence configuration defining information to be provided to a presence agent, said presence configuration also defining one or more action to be performed upon notification of an event occurrence; and  
collecting dynamic presence information based at least in part on said client device capabilities and said presence configuration.
2. The method of claim 1, further comprising aggregating said presence information for use by said presence agent.
3. The method of claim 1 wherein said client device comprises a mobile phone configured with a SIM (Subscriber Identity Module).
4. The method of claim 1 wherein said mobile phone comprises a GSM mobile phone.
5. The method of claim 3 wherein said collecting further comprises using a proactive SIM command to obtain said presence information.
6. The method of claim 3 wherein said collecting is responsive to receipt of a dialed number while said mobile phone is in call control mode.
7. The method of claim 3 wherein said collecting is responsive to receipt of an envelope command corresponding to a registered event.

8. The method of claim 3 wherein said collecting is responsive to receipt of a status APDU command.

9. A method for transporting dynamic presence information over a wireless and wireline communications network, the method comprising:  
sending dynamic presence information from a client device to a gateway using a SIP-like protocol, said SIP-like protocol based at least in part on the SIP protocol, said SIP-like protocol employing a transport protocol; and  
receiving dynamic presence information from said gateway using said SIP-like protocol.

10. The method of claim 9 wherein said SIP-like protocol comprises:  
an "Options" method for querying the media capabilities of a client device;  
a "Register" method for providing dynamic presence information from said client device to a presence agent;  
a "Subscribe" method for subscribing to an event for notification upon the occurrence of said event;  
a "Notify" method for informing said subscriber about the state of an event that has been subscribed to.

11. The method of claim 10 wherein said SIP-like protocol further comprises a "Message" method for sending an instant message to a principal.

12. The method of claim 9 wherein said transport protocol comprises Short Message Service (SMS).

13. The method of claim 9 wherein said transport protocol comprises Unstructured Supplementary Services Data (USSD).

14. The method of claim 9 wherein said method further comprises:  
passing a measurement of said SIP-like protocol streaming rate and a current bandwidth measurement through a low pass filter;

setting an exponent to a threshold value if said filtered streaming rate measurement is not greater than said filtered bandwidth value; and delaying based at least in part on the value  $2^{\text{exponent}}$  and increasing said exponent if said filtered streaming rate measurement is greater than said filtered bandwidth value.

15. The method of claim 9 wherein said method further comprises:

setting the window size for said SIP-like protocol stream to one packet if the number of SMS timeouts exceeds a timeout threshold, or if the number of unacknowledged reports is greater than an "Unack" threshold; and doubling the window size of said SIP-like protocol stream if the number of SMS timeouts does not exceed said timeout threshold and if the number of unacknowledged reports is not greater than said "Unack" threshold.

16. A program storage device readable by a machine, embodying a program of instructions executable by the machine to perform a method for detecting and transporting dynamic presence information over a wireless and wireline communications network, the method comprising:

determining client device capabilities, said capabilities relating to user presence information that may be obtained from said client device; determining a presence configuration of said client device, said presence configuration defining information to be provided to a presence agent, said presence configuration also defining one or more action to be performed upon notification of an event occurrence; and collecting dynamic presence information based at least in part on said client device capabilities and said presence configuration.

17. The program storage device of claim 16, said method further comprising aggregating said presence information for use by said presence agent.

18. The program storage device of claim 16 wherein said client device comprises a mobile phone configured with a SIM (Subscriber Identity Module).

19. The program storage device of claim 16 wherein said mobile phone comprises a GSM mobile phone.

20. The program storage device of claim 18 wherein said collecting further comprises using a proactive STM command to obtain said presence information.

21. The program storage device of claim 18 wherein said collecting is responsive to receipt of a dialed number while said mobile phone is in call control mode.

22. The program storage device of claim 18 wherein said collecting is responsive to receipt of an envelope command corresponding to a registered event.

23. The program storage device of claim 18 wherein said collecting is responsive to receipt of a status APDU command.

24. A program storage device readable by a machine, embodying a program of instructions executable by the machine to perform a method transporting dynamic presence information over a wireless and wireline communications network, the method comprising:  
sending dynamic presence information from a client device to a gateway using a SIP-like protocol, said SIP-like protocol based at least in part on the SIP protocol, said SIP-like protocol employing a transport protocol; and  
receiving dynamic presence information from said gateway using said SIP-like protocol.

25. The program storage device of claim 24 wherein said SIP-like protocol comprises:  
an "Options" method for querying the media capabilities of a client device;  
a "Register" method for providing dynamic presence information from said client device to a presence agent;  
a "Subscribe" method for subscribing to an event for notification upon the occurrence of said event;  
a "Notify" method for informing said subscriber about the state of an event that has been subscribed to.

26. The program storage device of claim 25 wherein said SIP-like protocol further comprises a "Message" method for sending an instant message to a principal.

27. The program storage device of claim 24 wherein said transport protocol comprises Short Message Service (SMS).

28. The program storage device of claim 24 wherein said transport protocol comprises Unstructured Supplementary Services Data (USSD).

29. The program storage device of claim 24 wherein said method further comprises:  
passing a measurement of said SIP-like protocol streaming rate and a current bandwidth measurement through a low pass filter;  
setting an exponent to a threshold value if said filtered streaming rate measurement is not greater than said filtered bandwidth value; and  
delaying based at least in part on the value  $2^{\text{exponent}}$  and increasing said exponent if said filtered streaming rate measurement is greater than said filtered bandwidth value.

30. The program storage device of claim 24 wherein said method further comprises:  
setting the window size for said SIP-like protocol stream to one packet if the number of SMS timeouts exceeds a timeout threshold, or if the number of unacknowledged reports is greater than an "Unack" threshold; and  
doubling the window size of said SIP-like protocol stream if the number of SMS timeouts does not exceed said timeout threshold and if the number of unacknowledged reports is not greater than said "Unack" threshold.

31. An apparatus for detecting and transporting dynamic presence information over a wireless and wireline communications network, the apparatus comprising:  
means for determining client device capabilities, said capabilities relating to user presence information that may be obtained from said client device;  
means for determining a presence configuration of said client device, said presence configuration defining information to be provided to a presence agent, said

presence configuration also defining one or more action to be performed upon notification of an event occurrence; and means for collecting dynamic presence information based at least in part on said client device capabilities and said presence configuration.

32. The apparatus of claim 31, further comprising means for aggregating said presence information for use by said presence agent.

33. The apparatus of claim 31 wherein said client device comprises a mobile phone configured with a SIM (Subscriber Identity Module).

34. The apparatus of claim 31 wherein said mobile phone comprises a GSM mobile phone.

35. The apparatus of claim 33 wherein said means for collecting further comprises means for using a proactive SIM command to obtain said presence information.

36. The apparatus of claim 33 wherein said means for collecting is responsive to receipt of a dialed number while said mobile phone is in call control mode.

37. The apparatus of claim 33 wherein said means for collecting is responsive to receipt of an envelope command corresponding to a registered event.

38. The apparatus of claim 33 wherein said means for collecting is responsive to receipt of a status APDU command.

39. An apparatus for transporting dynamic presence information over a wireless and wireline communications network, the apparatus comprising:  
means for sending dynamic presence information from a client device to a gateway using a SIP-like protocol, said SIP-like protocol based at least in part on the SIP protocol, said SIP-like protocol employing a transport protocol; and  
means for receiving dynamic presence information from said gateway using said SIP-like protocol.

40. The apparatus of claim 39 wherein said SIP-like protocol comprises:

- an "Options" method for querying the media capabilities of a client device;
- a "Register" method for providing dynamic presence information from said client device to a presence agent;
- a "Subscribe" method for subscribing to an event for notification upon the occurrence of said event;
- a "Notify" method for informing said subscriber about the state of an event that has been subscribed to.

41. The apparatus of claim 40 wherein said SIP-like protocol further comprises a

- "Message" method for sending an instant message to a principal.

42. The apparatus of claim 39 wherein said transport protocol comprises Short Message Service (SMS).

43. The apparatus of claim 39 wherein said transport protocol comprises Unstructured Supplementary Services Data (USSD).

44. The apparatus of claim 39 wherein said apparatus further comprises:

- means for passing a measurement of said SIP-like protocol streaming rate and a current bandwidth measurement through a low pass filter;
- means for setting an exponent to a threshold value if said filtered streaming rate measurement is not greater than said filtered bandwidth value; and
- means for delaying based at least in part on the value  $2^{\text{exponent}}$  and increasing said exponent if said filtered streaming rate measurement is greater than said filtered bandwidth value.

45. The apparatus of claim 39 wherein said apparatus further comprises:

- means for setting the window size for said SIP-like protocol stream to one packet if the number of SMS timeouts exceeds a timeout threshold, or if the number of unacknowledged reports is greater than an "Unack" threshold; and

means for doubling the window size of said SIP-like protocol stream if the number of SMS timeouts does not exceed said timeout threshold and if the number of unacknowledged reports is not greater than said "Unack" threshold.

46. An apparatus for detecting and transporting dynamic presence information over a wireless and wireline communications network, the apparatus comprising:  
a memory; and  
a presence client in communication with a client device and configured to:  
determine said client device capabilities, said capabilities relating to user presence information that may be obtained from said client device;  
determine a presence configuration of said client device, said presence configuration defining information to be provided to a presence agent, said presence configuration also defining one or more action to be performed upon notification of an event occurrence; and  
collect dynamic presence information based at least in part on said client device capabilities and said presence configuration.

47. The apparatus of claim 46 wherein said presence client is further configured to aggregate said presence information for use by said presence agent.

48. The apparatus of claim 46 wherein said client device comprises a mobile phone configured with a SIM (Subscriber Identity Module).  
a presence client in communication with a client device and configured to:

49. The apparatus of claim 46 wherein said mobile phone comprises a GSM mobile phone.

50. The apparatus of claim 48 wherein said presence client is further configured to use a proactive SIM command to obtain said presence information.

51. The apparatus of claim 48 wherein said presence client is further configured to collect said dynamic presence information in response to receipt of a dialed number while said mobile phone is in call control mode.

52. The apparatus of claim 48 wherein said presence client is further configured to collect said dynamic presence information in response to receipt of an envelope command corresponding to a registered event.

53. The apparatus of claim 48 wherein said presence client is further configured to collect said dynamic presence information in response to receipt of a status APDU command.

54. The apparatus of claim 46 wherein said apparatus comprises a smart card.

55. The apparatus of claim 54 wherein said smart card comprises a Java Card™ technology-enabled smart card.

56. The apparatus of claim 54 wherein said smart card comprises a CDMA (Code Division Multiple Access) technology-enabled smart card.

57. The apparatus of claim 54 wherein said smart card comprises a SIM (Subscriber Identity Module) card.

58. The apparatus of claim 54 wherein said smart card comprises a WIM (Wireless Interface Module).

59. The apparatus of claim 54 wherein said smart card comprises a USIM (Universal Subscriber Identity Module).

60. The apparatus of claim 54 wherein said smart card comprises a UIM (User Identity Module).

61. The apparatus of claim 54 wherein said smart card comprises a R-UIM (Removable User Identity Module).

62. An apparatus for transporting dynamic presence information over a wireless and wireline communications network, the apparatus comprising:  
a memory; and  
a presence client in communication with a client device and configured to:  
send dynamic presence information from said client device to a gateway using a SIP-like protocol, said SIP-like protocol based at least in part on the SIP protocol, said SIP-like protocol employing a transport protocol; and  
receive dynamic presence information from said gateway using said SIP-like protocol.

63. The apparatus of claim 62 wherein said SIP-like protocol comprises:  
an "Options" method for querying the media capabilities of a client device;  
a "Register" method for providing dynamic presence information from said client device to a presence agent;  
a "Subscribe" method for subscribing to an event for notification upon the occurrence of said event;  
a "Notify" method for informing said subscriber about the state of an event that has been subscribed to.

64. The apparatus of claim 63 wherein said SIP-like protocol further comprises a "Message" method for sending an instant message to a principal.

65. The apparatus of claim 62 wherein said transport protocol comprises Short Message Service (SMS).

66. The apparatus of claim 62 wherein said transport protocol comprises Unstructured Supplementary Services Data (USSD).

67. The apparatus of claim 62 wherein said presence client is further configured to:  
pass a measurement of said SIP-like protocol streaming rate and a current bandwidth measurement through a low pass filter;  
set an exponent to a threshold value if said filtered streaming rate measurement is not greater than said filtered bandwidth value; and

delay based at least in part on the value  $2^{\text{exponent}}$  and increase said exponent if said filtered streaming rate measurement is greater than said filtered bandwidth value.

68. The apparatus of claim 62 wherein said presence client is further configured to:  
set the window size for said SIP-like protocol stream to one packet if the number of SMS timeouts exceeds a timeout threshold, or if the number of unacknowledged reports is greater than an "Unack" threshold; and  
double the window size of said SIP-like protocol stream if the number of SMS timeouts does not exceed said timeout threshold and if the number of unacknowledged reports is not greater than said "Unack" threshold.

69. The apparatus of claim 62 wherein said apparatus comprises a smart card.

70. The apparatus of claim 69 wherein said smart card comprises a Java Card<sup>TM</sup> technology-enabled smart card.

71. The apparatus of claim 69 wherein said smart card comprises a CDMA (Code Division Multiple Access) technology-enabled smart card.

72. The apparatus of claim 69 wherein said smart card comprises a SIM (Subscriber Identity Module) card.

73. The apparatus of claim 69 wherein said smart card comprises a WIM (Wireless Interface Module).

74. The apparatus of claim 69 wherein said smart card comprises a USIM (Universal Subscriber Identity Module).

75. The apparatus of claim 69 wherein said smart card comprises a UIM (User Identity Module).

76. The apparatus of claim 69 wherein said smart card comprises a R-UIM (Removable User Identity Module).

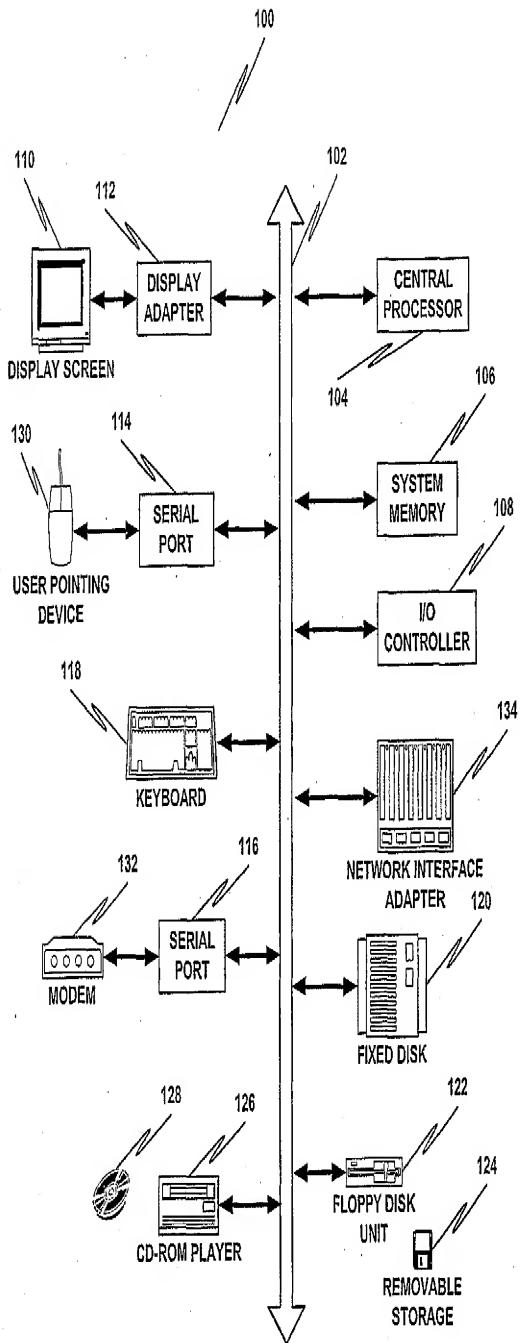


FIG. 1

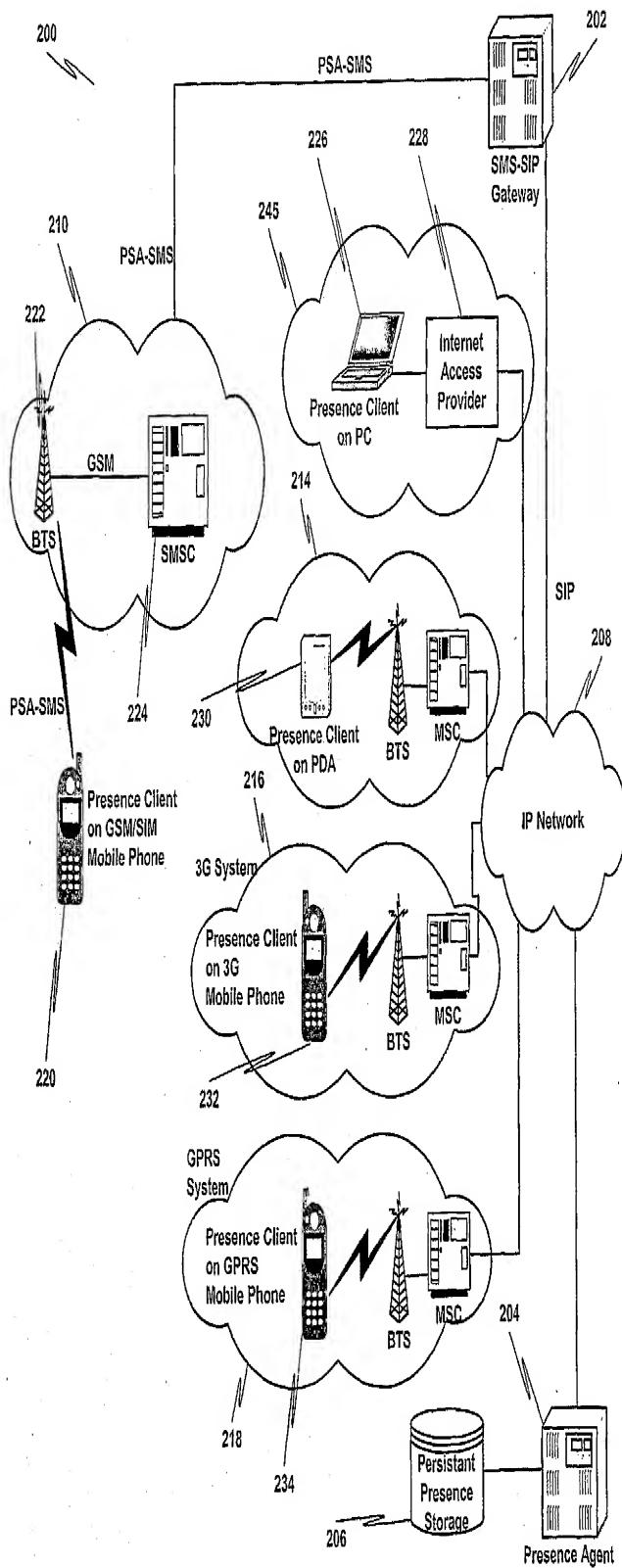


FIG. 2

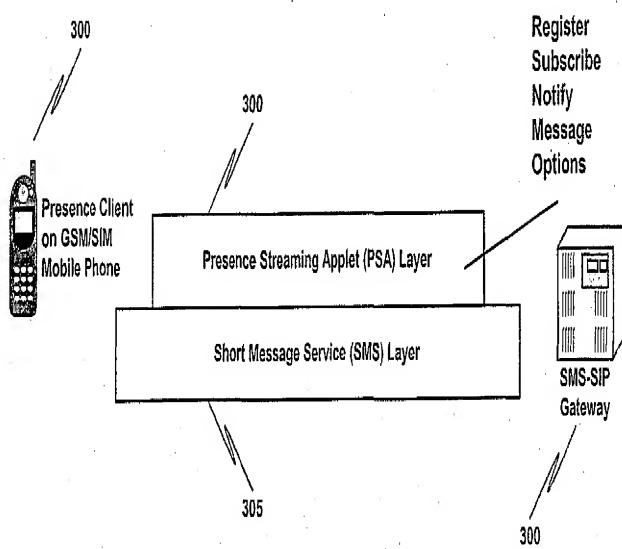


FIG. 3

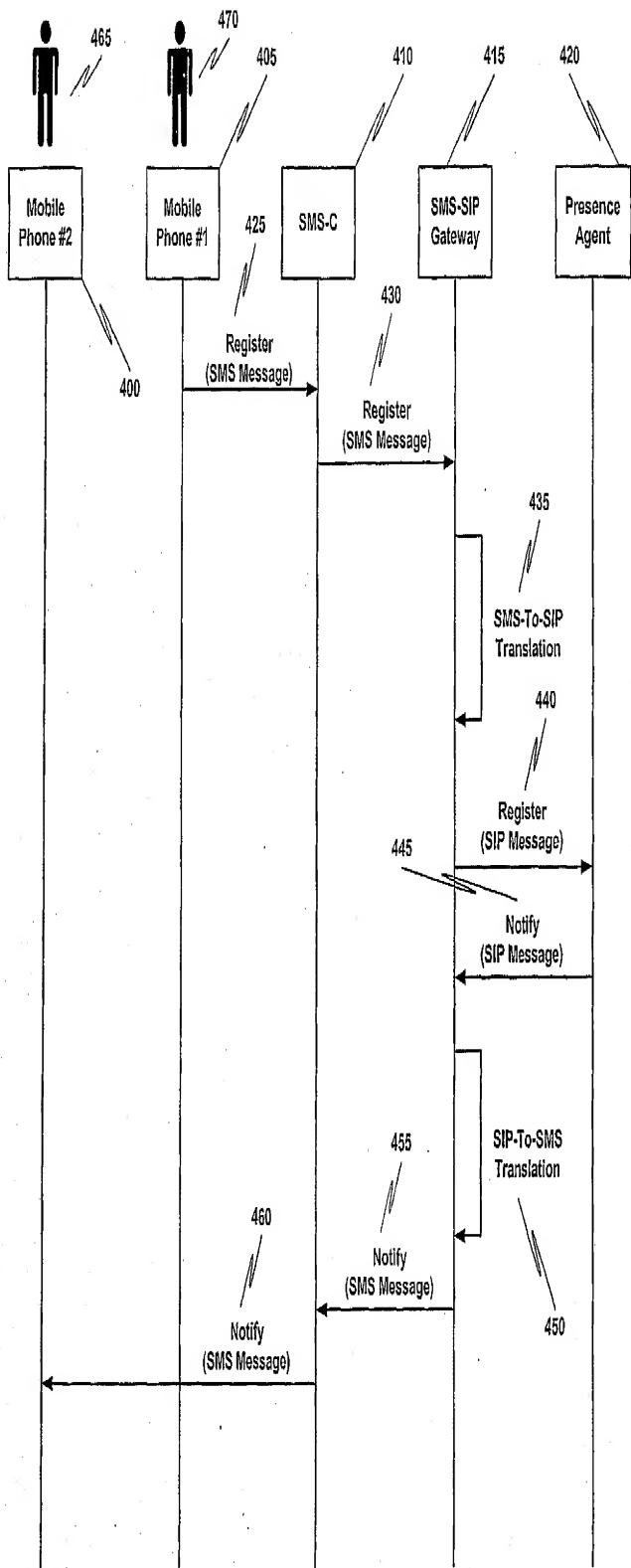


FIG. 4

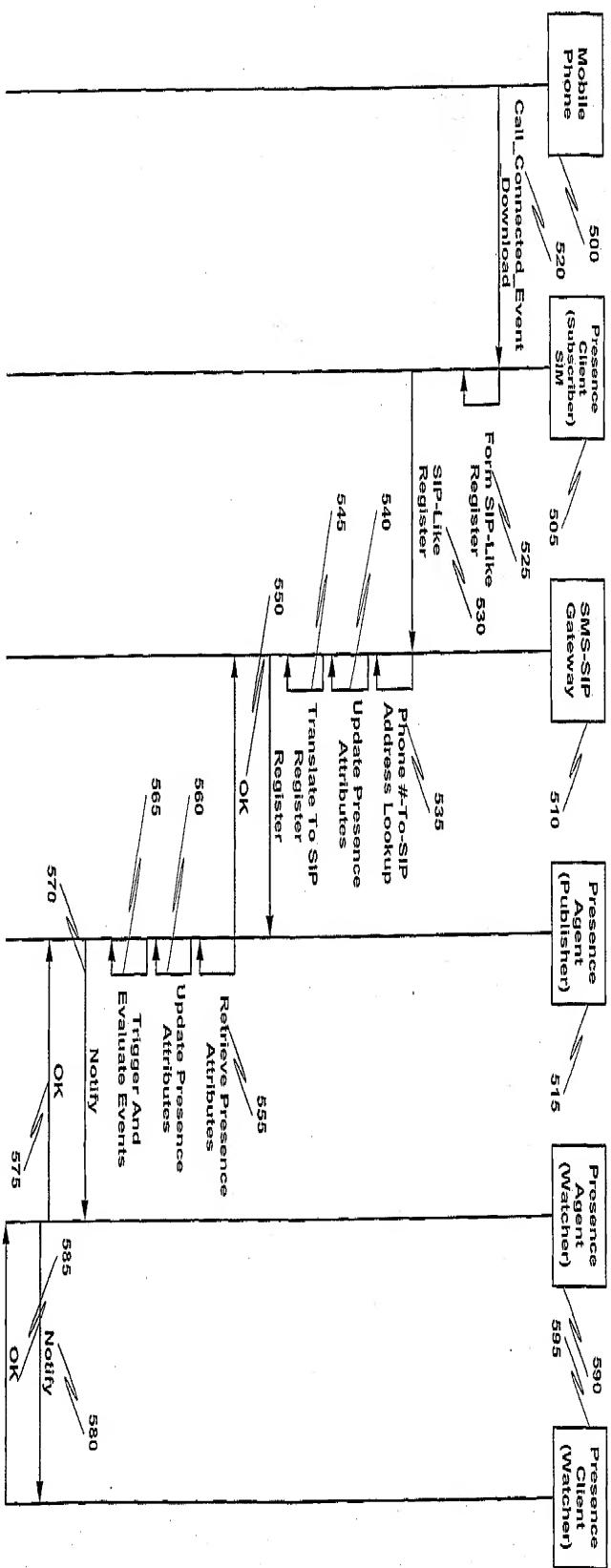
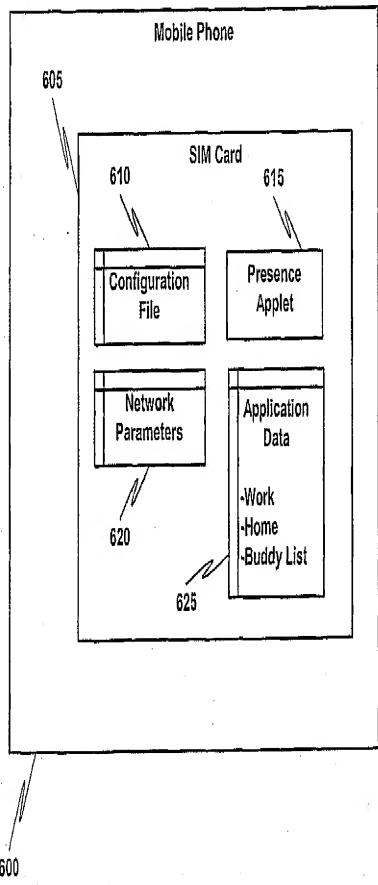


FIG. 5

**FIG. 6**

## Buddy List

Name	Status	Phone Contacts	SMS Contacts
Atanu	Idle		
Matt	Busy		
Mike	Idle		
Sue	Active		
Bill	Idle		
Bobb	Engaged		
Mary	Sleep		
Jane	Idle		
Ed	Active		
Sam	Idle		

700

FIG. 7A

## Configuration File

720

```
Username: Yanx
Password: XXXX
Home Server: Versada1.versadanet.com
Outbound Port Number: 5061
Inbound Port Number: 5062
Register Duration 36000
Device ID: 1234567890
Contact: Sip:yanx@CB22RV201.VERSADA.centerbeam.com;device="desktop";q=0.5
Contact: Mailto:yanx@versadanet.com;q=1
```

FIG. 7B

FIG. 7

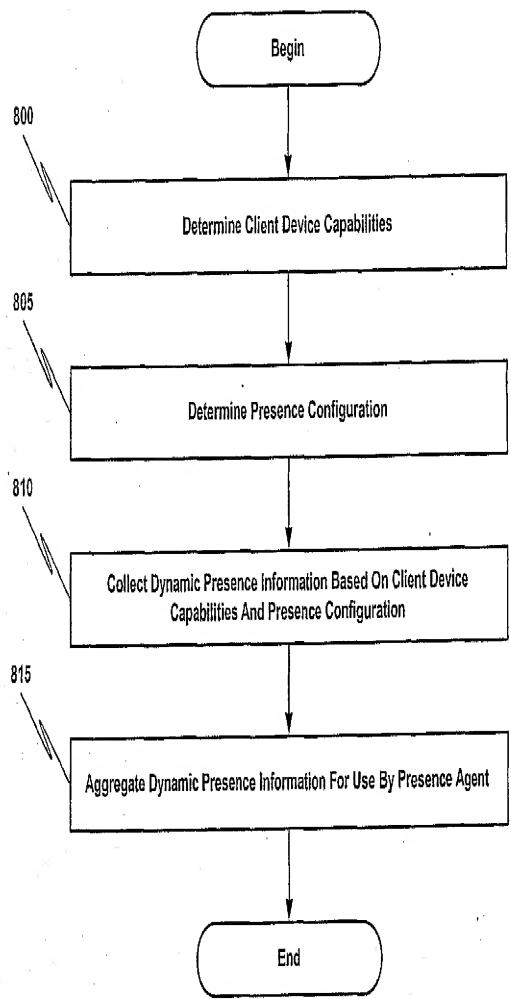


FIG. 8

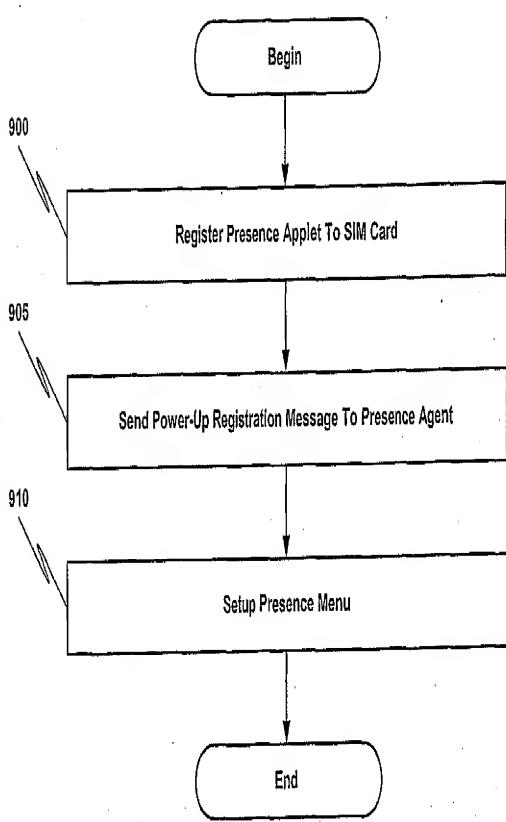


FIG. 9

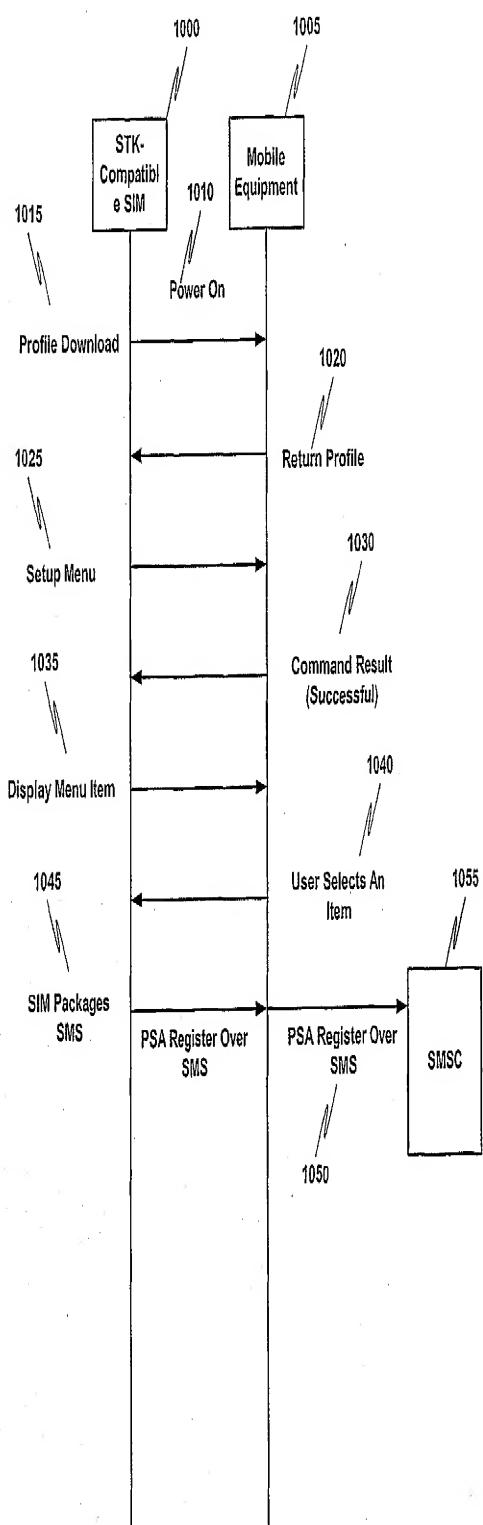


FIG. 10

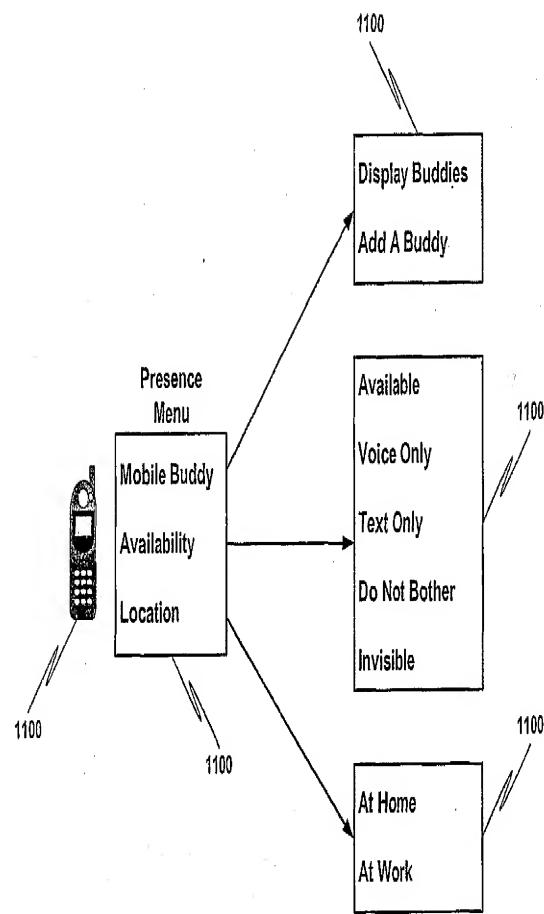


FIG. 11

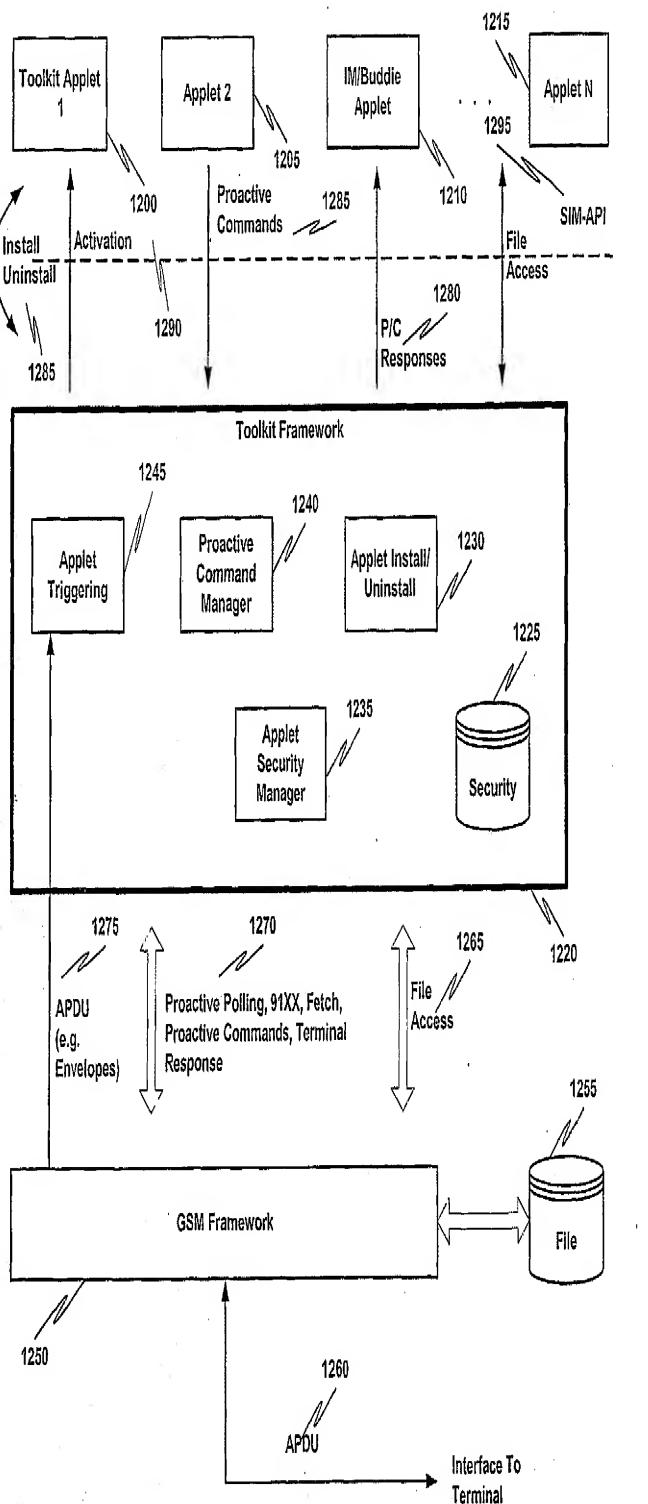


FIG. 12

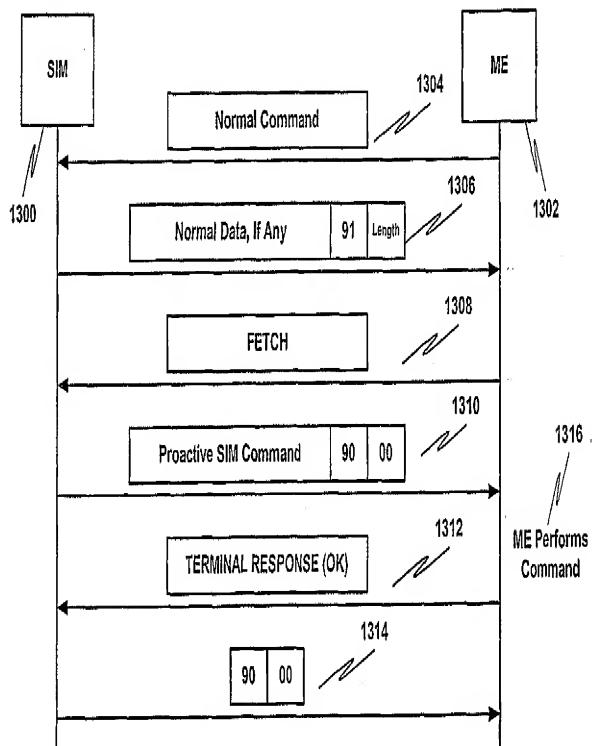


FIG. 13A

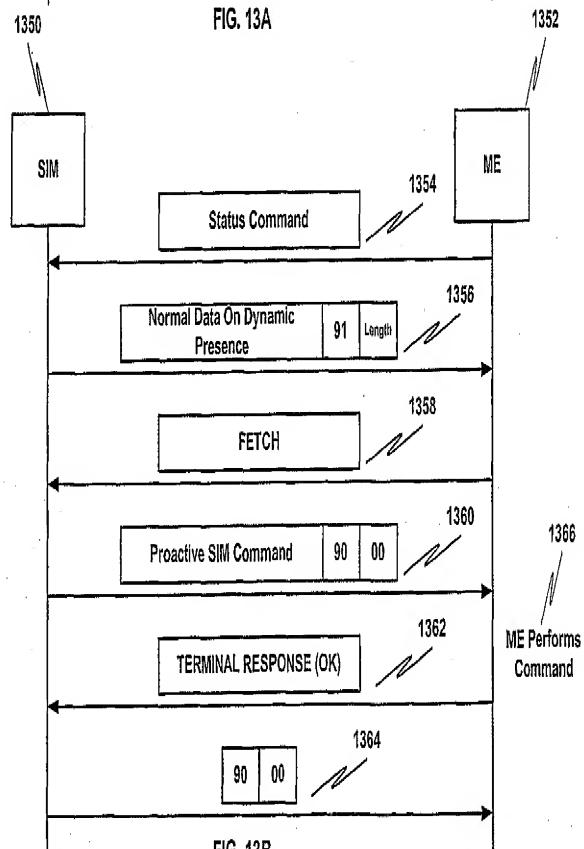


FIG. 13B

FIG. 13

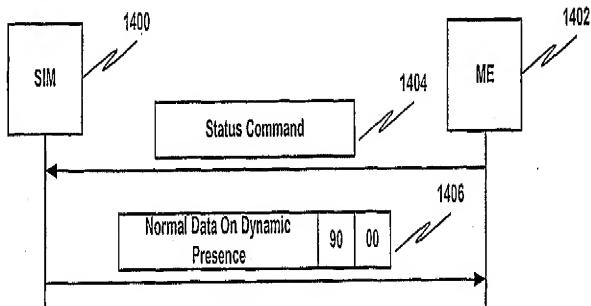


FIG. 14A

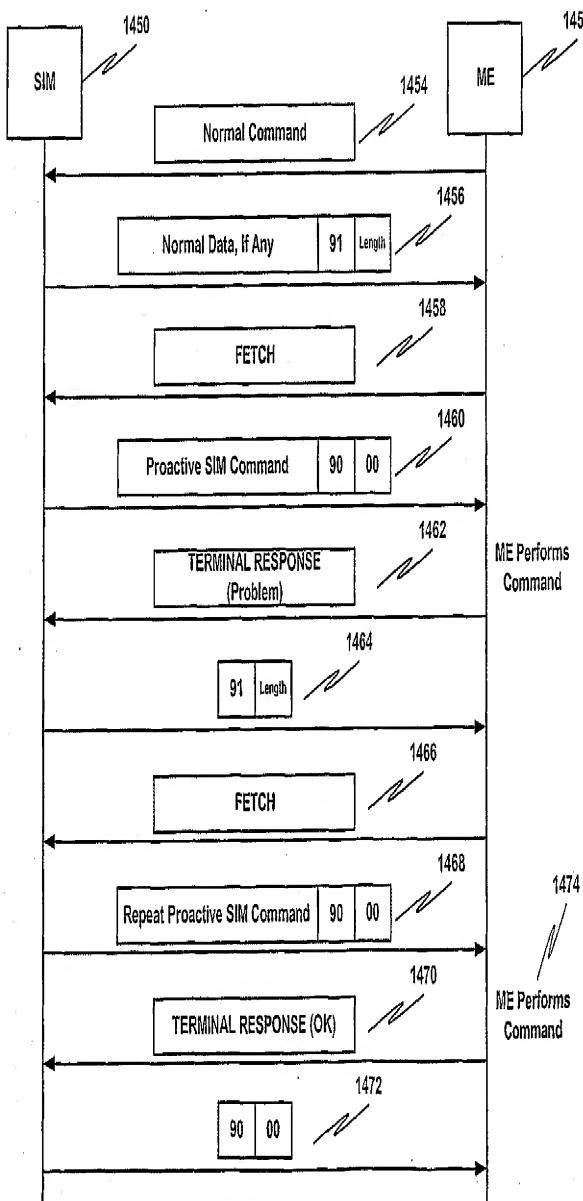


FIG. 14B

FIG. 14

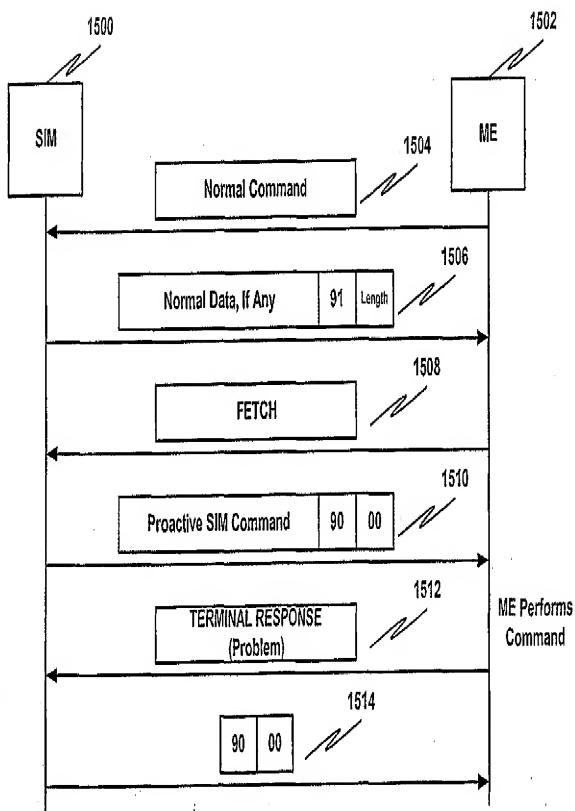


FIG. 15

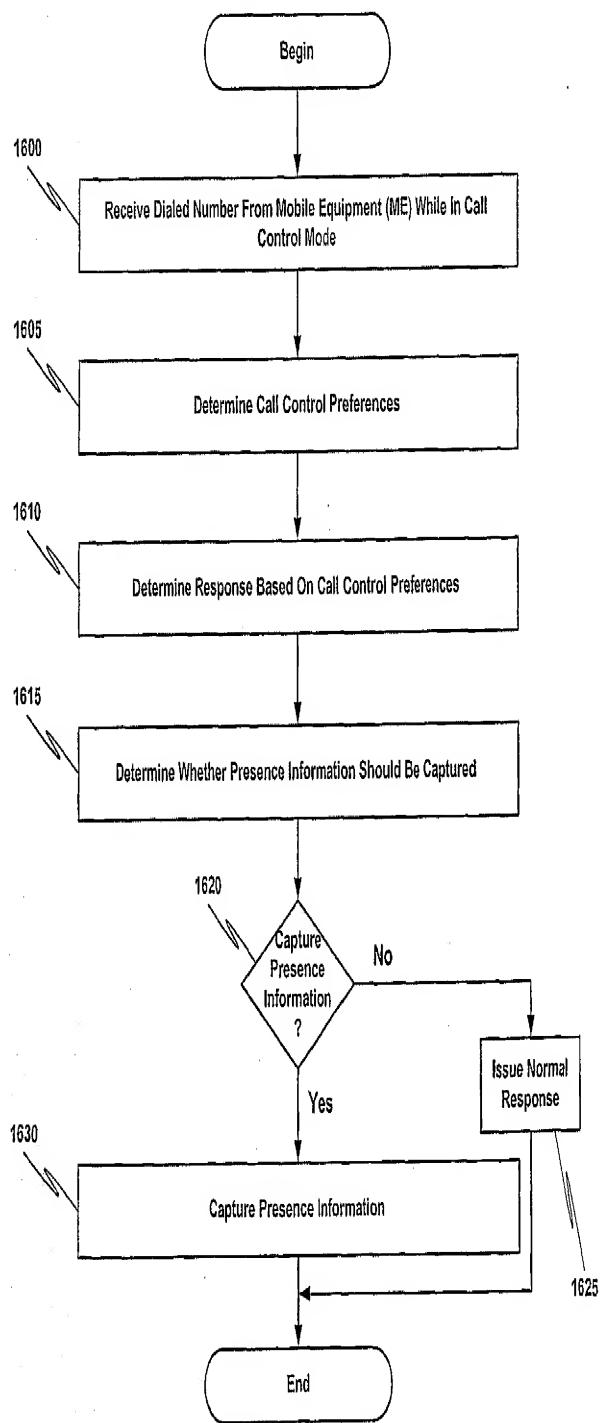


FIG. 16

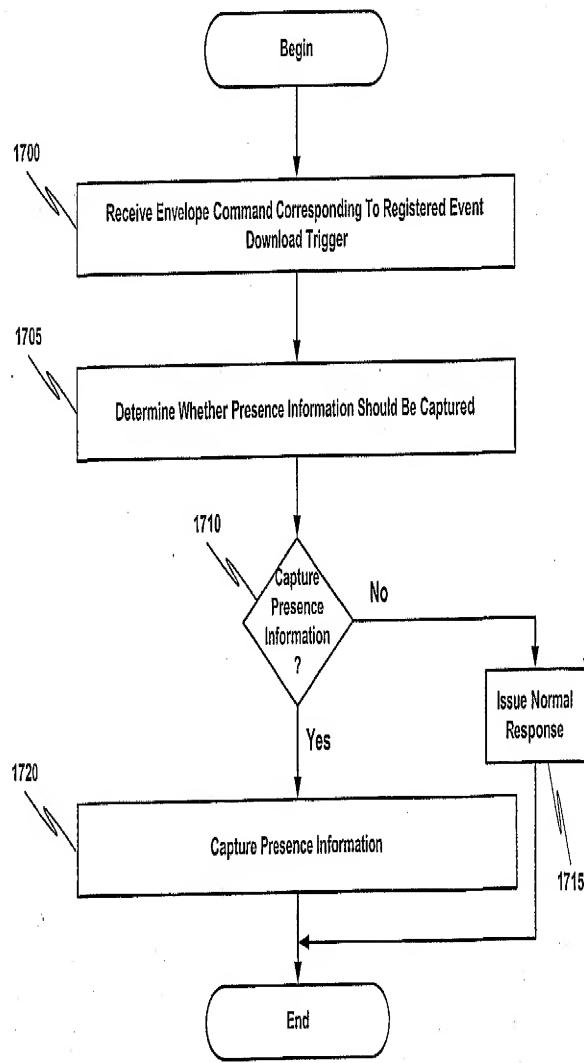


FIG. 17

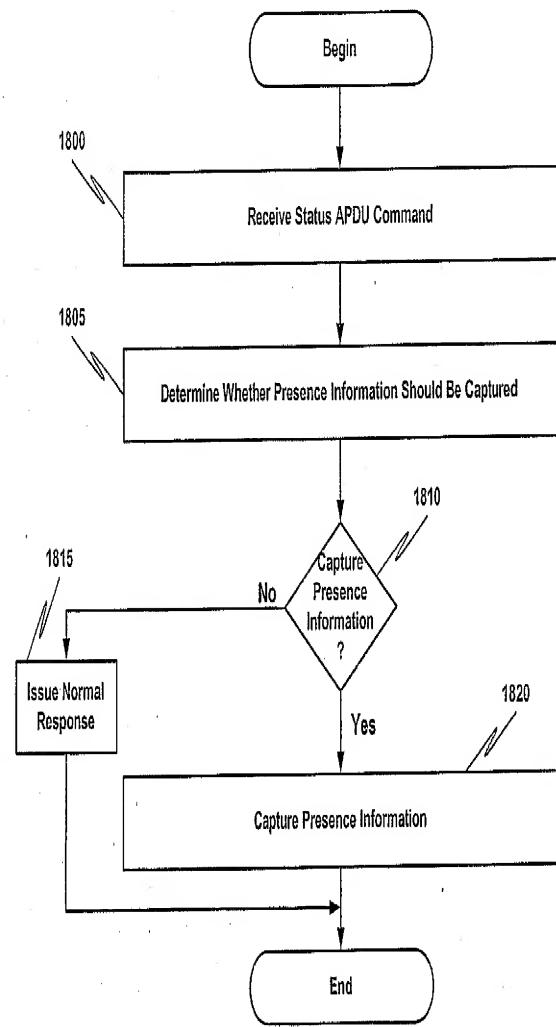


FIG. 18

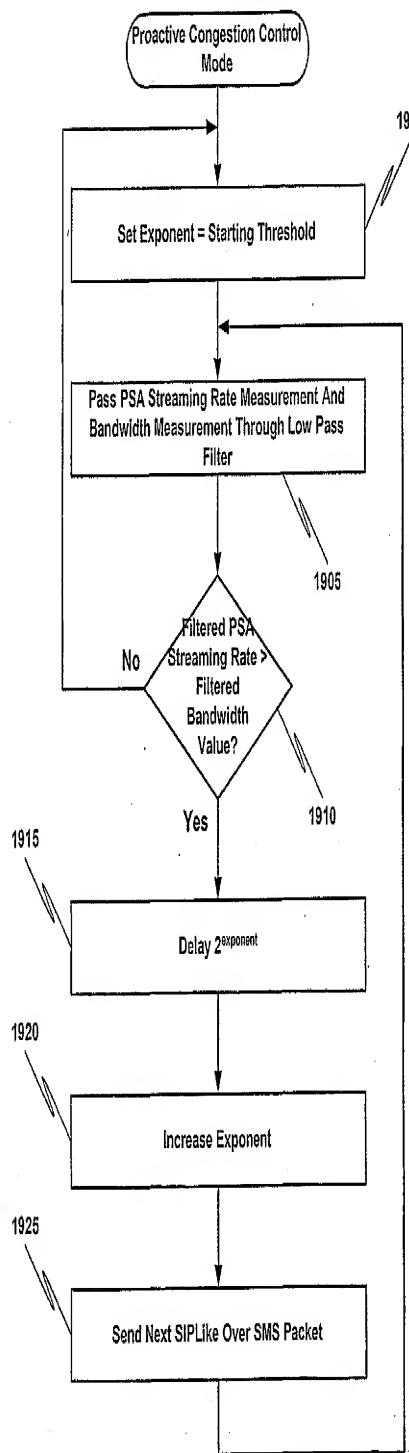


FIG. 19

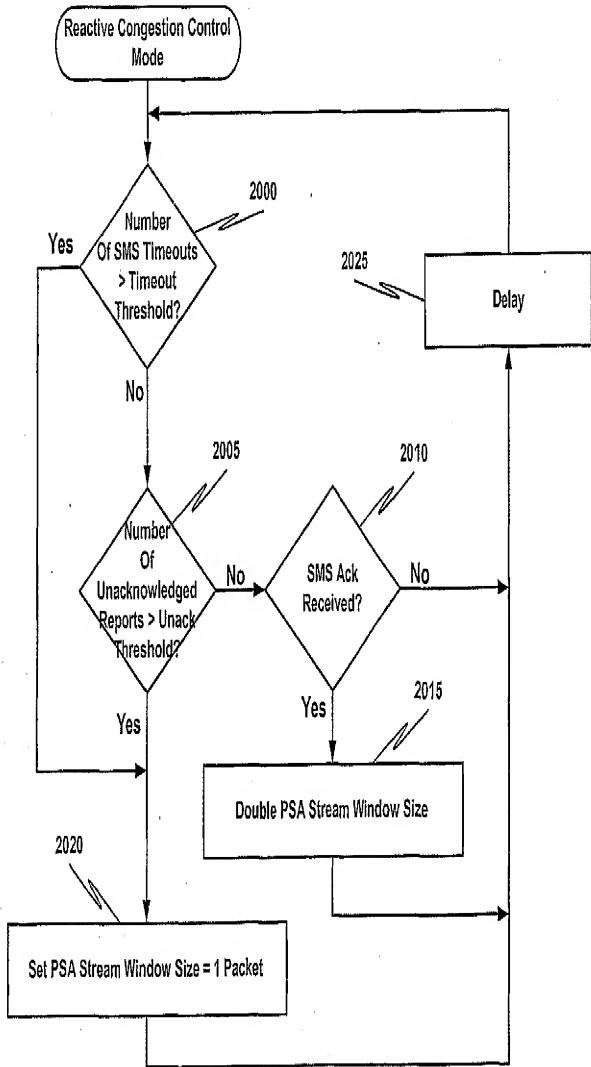


FIG. 20



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(10) International Publication Number  
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(21) International Application Number: PCT/US02/20305

(74) Agents: RITCHIE, David, B. et al.; Thelen, Reid &amp; Priest, LLP, P.O. Box 640640, San Jose, CA 95165-0640 (US).

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(26) Publication Language: English

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(30) Priority Data:

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60/301,249 26 June 2001 (26.06.2001) US

GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,

(71) Applicant: VERSADA NETWORKS, INC. (US/US);

LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,

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MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,

(72) Inventors: ATANU, Mukherjee; 3204, 17th Avenue, NE,

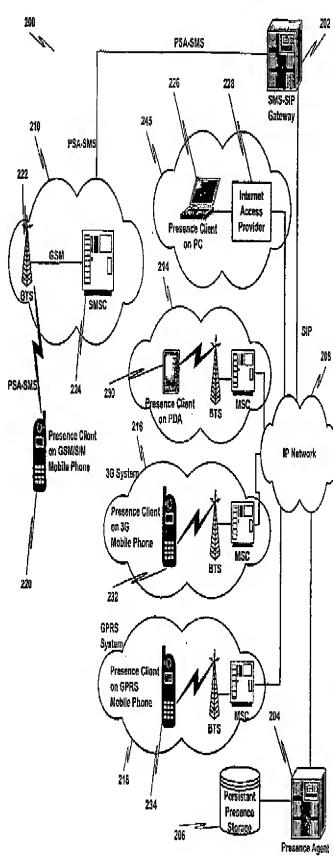
SI, SR, SL, TI, TM, TN, TR, TT, TZ, UA, UG, UZ, VN,

Bellevue, WA 98008 (US). CHEN, Chao-Chi; 24330 SE

YU, ZA, ZM, ZW).

(84) Designated States (*regional*): ARIPo patent (GH, GM, KB, LS, MW, MZ, SD, SI, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TI, TM),*[Continued on next page]*

(54) Title: DETECTING AND TRANSPORTING DYNAMIC PRESENCE INFORMATION OVER A WIRELESS AND WIRELINE COMMUNICATIONS NETWORK



(57) Abstract: A method for detecting and transporting dynamic presence information over a wireless and wireline communications network comprise determining client device capabilities relating to user presence information that may be obtained from the client device and determining a presence configuration of the client device. The presence configuration defines information to be provided to a presence agent. The presence configuration also defines one or more action to be performed upon notification of an event occurrence. The method also includes collecting dynamic presence information based at least in part on the client device capabilities and the presence configuration.



European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG). (88) Date of publication of the international search report: 14 August 2003

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04L29/06 H04L12/58

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, COMPENDEX, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00 64110 A (NOKIA NETWORKS OY ;ROOKE MICHAEL (FI); MUHONEN AHTI (FI); SEVANTO) 26 October 2000 (2000-10-26)  abstract page 1, line 10 - line 17 page 5, line 23 - line 32 page 6, line 29 -page 7, line 11  page 8, line 11 -page 11, line 6 page 11, line 34 -page 13, line 20 page 14, line 28 -page 15, line 12 page 16, line 16 - line 23 page 17, line 6 - line 13  ---	1-4, 16-19, 31-34, 46-49, 54-61
Y		5-8, 20-23, 35-38, 50-53

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

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Date of the actual completion of the International search

Date of mailing of the International search report

21 January 2003

22.04.2003

## Name and mailing address of the ISA

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## Authorized officer

Kesting, V

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	NAPOLITANO A ET AL: "Evolution of the GSM platform" UNIVERSAL PERSONAL COMMUNICATIONS, 1998. ICUPC '98. IEEE 1998 INTERNATIONAL CONFERENCE ON FLORENCE, ITALY 5-9 OCT. 1998, NEW YORK, NY, USA, IEEE, US, 5 October 1998 (1998-10-05), pages 409-413, XP010314926 ISBN: 0-7803-5106-1	5-7, 20-22, 35-37, 50-52
A	abstract  page 411, left-hand column, line 52 -right-hand column, line 34	3,4,18, 19,33, 34,48, 49,54,57
Y	INSIK HONG ET AL: "The implementation of electronic money for E-Commerce using Java card" ISIE 2001. 2001 IEEE INTERNATIONAL SYMPOSIUM ON INDUSTRIAL ELECTRONICS PROCEEDINGS (CAT. NO.01TH8570), ISIE 2001. 2001 IEEE INTERNATIONAL SYMPOSIUM ON INDUSTRIAL ELECTRONICS PROCEEDINGS, PUSAN, SOUTH KOREA, 12-16 JUNE 2001, pages 1369-1372 vol.2, XP002228073 2001, Piscataway, NJ, USA, IEEE, USA ISBN: 0-7803-7090-2	8,23,38, 53
A	the whole document	5,20,35, 50,54,55
A	EP 1 104 964 A (SONY INTERNAT EUROPE GMBH) 6 June 2001 (2001-06-06)  abstract paragraph [0001] - paragraph [0009] paragraph [0028] - paragraph [0030] paragraph [0039] - paragraph [0044] paragraph [0050]	1,3,4, 16,18, 19,31, 33,34, 46,48, 49,54,57

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-8,16-23,31-38,46-61

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-8,16-23,31-38,46-61

Method, program storage device and apparatus for detecting and transporting presence information over a wireless and wireline network by 1) determining client device capabilities relating to user presence information obtainable from the device, 2) determining a presence configuration of the device, said configuration defining information to be provided to a presence agent and also defining one or more action to be performed upon notification of an event occurrence, and 3) collecting dynamic presence information based at least in part on said device capabilities and said presence configuration

2. Claims: 9-15,24-30,39-45,62-76

Method, program storage device and apparatus for transporting dynamic presence information over a wireless and wireline communications network by 1) sending dynamic presence information from a client device to a gateway using a SIP-like protocol, said SIP-like protocol based at least in part on the SIP protocol, said SIP-like protocol employing a transport protocol, and 2) receiving dynamic presence information from said gateway using said SIP-like protocol

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No  
PCT/US 02/20305

Patient document cited in search report	Publication date	Patient family member(s)	Publication date
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		AU 4033099 A	02-11-2000
		EP 1169827 A1	09-01-2002
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